

28<sup>th</sup> April, 2023

**BSE Limited**

1<sup>st</sup> Floor, New Trading Ring,  
Rotunda Building, P.J. Towers,  
Dalal Street, Fort,  
Mumbai- 400 001  
BSE scrip code: 500302

**National Stock Exchange of India Limited**

Exchange Plaza, 5<sup>th</sup> floor,  
Plot No. C/1, G-Block,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai – 400 051  
NSE symbol: PEL

**Sub.: Compliance Certificate pursuant to Regulation 40(10) and 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations')**

Dear Sir / Madam,

Pursuant to Regulation 40(10) and 61(4) of the Listing Regulations, please find enclosed the Compliance Certificate issued by Mr. Ainesh Jethwa, Practising Company Secretary, for the financial year ended 31<sup>st</sup> March, 2023.

Thanking you,

Yours truly,  
For **Piramal Enterprises Limited**

**Bipin Singh**  
Company Secretary

*Encl.: a/a*

**Piramal Enterprises Limited**

CIN : L24110MH1947PLC005719

Registered Office: Piramal Ananta, Agastya Corporate Park, Opp Fire Brigade, Kamani Junction, LBS Marg, Kurla (West), Mumbai 400 070 India  
Secretarial Dept : Ground Floor, B Block, Agastya Corporate Park, Opp. Fire Brigade, Kamani Junction, LBS Marg, Kurla (West), Mumbai, Maharashtra 400070, India

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[piramal.com](http://piramal.com)



**Name of the Company** : **PIRAMAL ENTERPRISES LIMITED**  
**CIN** : **L24110MH1947PLC005719**  
**Registered Office** : Piramal Ananta, Agastya Corporate Park,  
Opp. Fire Brigade, Kamani Junction, LBS Marg,  
Kurla (West), Mumbai - 400 070

**Certificate under Regulation 40(9) and 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended 31<sup>st</sup> March, 2023**

We have examined the relevant books, registers, files and other documents relating to **Piramal Enterprises Limited ('the Company')** maintained by **Link Intime India Private Limited, the Registrar and Share Transfer Agent ('RTA')** for the period from **1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate as per Regulation 40(9) and 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, I hereby certify that:

- A. In accordance with SEBI Circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated 7<sup>th</sup> September, 2020, SEBI had fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgement of transfer deeds. The shares re-lodged for transfer after the said date were required to be issued only in demat mode in the manner prescribed by SEBI from time to time. The Company was not required to issue any share certificates relating to re-lodged transfer request.
- B. In accordance with SEBI Circular No. SEBI/HO/ MIRSD /MIRSD RTAMB/ PI CIR/ 2022/8 dated 25<sup>th</sup> January, 2022, the Company has issued 'Letter of Confirmation' in lieu of physical securities in respect of requests relating to transmission, name deletion, subdivision, consolidation, exchange, renewal or duplicate, within stipulated time, except those rejected on technical grounds and delayed at the RTA end.
- C. No requests were received for endorsement of calls / allotment monies, with respect to equity shares.
- D. The Company was not required to issue physical debenture certificates since all the non-convertible debentures issued by the Company were in dematerialised form.

**For Ainesh Jethwa & Associates**

*Company Secretaries*

*Peer Review Certificate No. 1727/2022*

**Aineshkumar Jethwa**

**Proprietor**

**Membership No. : ACS 27990**

**COP : 19650**

**UDIN : A027990E000221656**

**Date : 28<sup>th</sup> April, 2023**

