

Date: 29<sup>th</sup> April, 2020

**To,  
The Dept. of Corporate Services  
BSE Limited.  
Floor 25, P. J. Tower,  
Dalal Street,  
MUMBAI – 400 001**

**Sub:** Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015, for the Half year ended 31<sup>st</sup>, March, 2020.

**Dear Sir/Madam,**

With reference to the above, we are enclosing herewith Half Yearly Compliance Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended on March 31, 2020 received from Virendra G. Bhatt Practicing Company Secretary.

Please take the same on your record and acknowledge.

Thanking You,

Yours Faithfully,

**For Arihant Capital Markets Limited**



**Mahesh Pancholi  
(Company Secretary)  
M. No. F-7143**

**ARIHANT CAPITAL MARKETS LIMITED**

Member of NSE, BSE, NSDL, CDSL

Reg. Office: 6, Lad Colony, Y N Road, Indore-452001 T: +91-731-4217100 F: +91-731-4217199

Corp. Office: 1011, Solitaire Corporate Park, Building No. 10, 1<sup>st</sup> Floor, Andheri Ghatkopar Link Road, Chakala, Andheri (E), Mumbai-400093

T: +91-22-67114800, +91-22-42254800 F: +91-22-42254880

CIN: L67120MP1992PLC007182

W: [www.arihantcapital.com](http://www.arihantcapital.com) E: [contactus@arihantcapital.com](mailto:contactus@arihantcapital.com)

# ***Virendra Bhatt***

***Company Secretary***

**Office:**

**Office No. 03, A Wing, 9th Floor,  
Pinnacle Corporate Park**

**BKC CST Link Rd., MMRDA Area,  
Bandra Kurla Complex,**

**Bandra East, Mumbai- 400 051**

**Tel.: 022 – 2652 9367 / 68**

**Mobile No.: +91 98200 48670**

**Email: bhattivirendra1945@yahoo.co.in**

**Name of the Company : ARIHANT CAPITAL MARKETS LIMITED**

**CIN : L67120MP1992PLC007182**

**Registered Office : 6, Lad Colony, Y. N. Road, Indore, Madhya Pradesh- 452001**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR  
ENDED 31<sup>ST</sup> MARCH, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ARIHANT CAPITAL MARKETS LIMITED** maintained by **Ankit Consultancy Private Limited** pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **ARIHANT CAPITAL MARKETS LIMITED** and based on the information provided, hereby certify that during half year ended **31<sup>st</sup> March, 2020**.

- A. No requests were received by the Registrar and Share Transfer Agents of the Company for transfer/transmission, sub-division or consolidation of shares for the period from **01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020**.
- B. Physical Share Certificates in respect of request for exchange of duplicate certificates have been issued within thirty days of lodgment.

**Date: 29<sup>th</sup> April, 2020**

**Place: Mumbai**

**UDIN: A001157B000187420**

**Virendra G. Bhatt**

**Practicing Company Secretary**

**ACS No.: 1157 / COP No.: 124**

**Note:**

*Due to COVID - 19 Lockdown, we are not able to verify the information physically, therefore we rely on the information as provided by the Registrar and Share Transfer Agent in electronic mode.*