



RACL Geartech Ltd.

Corporate Office

B-9, Sector-3, Noida, Uttar Pradesh-201301, INDIA

Phone: +91-120-4588500 Fax: +91-120-4588513

Web: www.raclgeartech.com E-mail: info@raclgeartech.com

6th January, 2021

REF. NO. RACL/ BSE/ 2020-21

The Manager - Listing

BSE Limited

25th Floor, P. J. Towers,

Dalal Street,

Mumbai - 400 001

Scrip Code No. 520073

Sub: Compliance with procedure prescribed for Transfer - Cum - DEMAT/ REMAT requests received for the quarter ended on 31st December, 2020

Dear Sir,

With reference to guidelines issued by Securities and Exchange Board of India [SEBI] for audit of dematerialized securities, please find enclosed herewith a certificate issued by K. K. Malhotra & Co., Company Secretaries in this regard for the quarter ended on 31st December, 2020.

Kindly take the above information on record and oblige.

Thanking you,

Yours Sincerely,

For RACL Geartech Limited

Shagun Bajpai

Company Secretary & Compliance Officer

ICSI Mem. No.: A45982

Registered Office

15th Floor, Eros Corporate Tower, Nehru Place, New Delhi-110019, INDIA

Phone: +91-11-66155129

CIN: L34300DL1983PLC016136

D-U-N-S Number: 65-013-7086



TS 16949 : 2009
TS 518901 - 000



National Securities Depository Ltd

Trade World, 4th Floor, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel,
Mumbai- 400013

Central Depository Services India Ltd.

25th Floor, Marathon Futurex,
N M Joshi Marg, Lower Parel (East),
Mumbai – 400013.

Compliance with Transfer-Cum-Demat Procedures Prescribed By NSDL/CDSL for the Quarter Ended 31st December, 2020

We hereby certify that **RACL Geartech Limited** having its Registered Office at 15th Floor, Eros Corporate Tower, Nehru Place, New Delhi -110019 (hereinafter referred to as “the Company”) followed the procedure mentioned in the “SEBI Guidelines for dematerialization of shares sent for transfer” and to that effect:

1. The Company/RTA has followed the necessary procedure for affecting the original transfer.
2. The Register of Members (RoM) of the Company was accordingly amended and the shares were transferred in favor of transferee.
3. The Company/RTA has adequate procedures and has satisfied itself that the transferee and the entity requesting for dematerialization are one and the same and before confirming the dematerialization request, the Company/RTA has further amended its Register of Members (RoM) to indicate the transfer from the transferee to NSDL/CDSL.
4. The Company/RTA has defaced and cancelled all the Share Certificates.
5. The Company/RTA has adequate system to ensure that the investors do not lose their corporate benefits on account of transfer entries made in favor of NSDL/CDSL.

6. The Company, during the period from 1st October, 2020 to 31st December, 2020, has received 8 (Eight) requests for dematerialization involving 1900 numbers of equity shares and the same has been accepted for **CDSL** under the SEBI Guidelines.

7. The Company during the period from 1st October, 2020 to 31st December, 2020, has received 10 (Ten) requests for dematerialization involving 6200 numbers of equity shares out of which 8 (Eight) request involving 5800 numbers of equity shares has been accepted and 2 (Two) request involving 400 numbers of equity shares has been rejected for **NSDL** under the SEBI Guidelines.

Date: 06.01.2021
Place: New Delhi

For **K.K.MALHOTRA & Co.**
Company Secretaries

K.K.MALHOTRA
(Proprietor)
FCS NO.1410, C.P.NO.446
UDIN:- F001410B001870244