

## PANKAJ PIYUSH TRADE AND INVESTMENT LTD

Regd. Off. :314, 3rd Floor, Opposite Plot No. 39, R. G. Mall, Sector-9, Rohini, New Delhi-110085  
(CIN : L65990DL1982PLC256291)

Website : www.pptinvestment.in  
E-mail : info@pptinvestment.com  
Ph. : 011-42804171, 9891442777

To,  
The Manager-Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai- 400001  
Scrip Code: 506122

Date: October 26, 2020

**Sub: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended on 30<sup>th</sup> September, 2020**

Dear Sir,

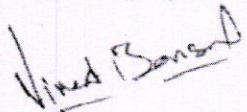
Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by M/s VMS & Co., Company Secretaries for the half-year ended on 30<sup>th</sup> September, 2020 in compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and records.

Thanking you,

Yours Faithfully,

For Pankaj Piyush Trade & Investment Limited



Vinod Kumar Bansal  
Director  
(DIN: 00243709)



A-1/11, Varun Apartments, Sector-9,  
Rohini, New Delhi-110085





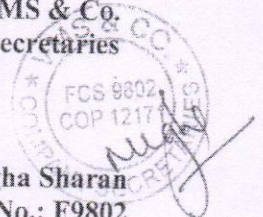
**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s Pankaj Piyush Trade & Investment Limited** maintained by **M/s Skyline Financial Services Private Limited** pertaining to transfer of equity shares of the company for the period from **01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by **M/s Pankaj Piyush Trade & Investment Limited** with the listed stock exchanges and based on the information provided by the Company, and hereby certify that:

- A) The Company has not received any request for transfer of shares during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020.
- B) As per records available to us there were no request for split certificates, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies which are received during the half year ended on 30<sup>th</sup> September, 2020.
- C) There was no request of duplicate Shares received by the company during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020.

For VMS & Co.  
Practicing Company Secretaries



Megha Sharan  
M. No.: F9802  
CP. No.: 12171

UDIN: F009802B001056815

Place: New Delhi  
Date: 26<sup>th</sup> October, 2020