



Date: January 04, 2023

The Manager
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

Subject: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018


Dear Sir/Madam,

We enclose herewith a Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2022 as received from M/s Skyline Financial Services Private Limited, Registrar and Share Transfer Agent of the Company.

You are requested to kindly take the above on record and acknowledge the receipt of the same.
Thanking You,

Thanking you,

For Aravali Securities and Finance Limited


Ruchi Shrivastava
Company Secretary



Encl: As above

ARAVALI SECURITIES & FINANCE LIMITED

REGD. OFFICE : RIDER HOUSE, 4th Floor, Plot No. 136, Sector-44, Gurgaon-122003

Phone : +91-124-4556677

CIN : L67120HR1980PLC039125 | Website: www.aravalisecurities.com | Email : info@aravalisecurities.com

Dated: January 02, 2023

Ref: SFSPL/RTA/ December/2022

To,
The Company Secretary
ARAVALI SECURITIES & FINANCE LIMITED
PLOT NO - 136, 4TH FLOOR, RIDER HOUSE,
SECTOR 44 GURGAON 122003

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In accordance with Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the Quarter ended **31st December, 2022**, w.r.t. physical share certificates received for dematerialization of securities from the depository participant (Equity Shares) of the Company, " **ARAVALI SECURITIES & FINANCE LIMITED** " CIN: **L67120HR1980PLC039125**. We hereby confirm and certify the following:

a) The securities comprised in the said certificate(s) have been listed on the Stock Exchange where the earlier issued securities are listed:

and

b) The said certificate(s) after due verification have been mutilated and cancelled and the name of depository has been substituted in our records as registered owner within 15 days.

This is for you information and records.

Thanking you,

For Skyline Financial Services Private Limited

Parveen Sharma
Authorised Signatory



ARAVALI SECURITIES & FINANCE LIMITED ISIN: INE068C01015 LIST OF DEMAT/REMAT/REJECTION(NSDL) FROM: 01/10/2022 TO 31/12/2022

S.NO.	CLIENT ID	D/R	DRN/RRN	NAME OF SHAREHOLDERS	RECEIPT DATE	QTY REQ.	SETUP DATE	QTY REJ.	QTY ACP.	CONF DATE	DAYS	RTA REF
1	IN300118-11817382	D	10000000094933	USHA AGARWAL,SUBODH KUMAR AGARWAL,	06/10/2022	30	24/09/2022	0	30	10/10/2022	5	6469
2	IN304088-10087168	D	10000000001388	VIVEK KUMAR BECTOR,,	05/11/2022	100	29/10/2022	100	0	07/11/2022	3	6470
3	IN300214-10506781	D	10000000239432	HARIPADA SAMANTA,ALPANA SAMANTA,	18/11/2022	100	17/11/2022	0	100	25/11/2022	8	6472
4	IN301774-20343876	D	10000000139259	RAVINDRA KUMAR AGARWAL,,	18/11/2022	215	17/11/2022	0	215	25/11/2022	8	6471
5	IN301549-53890759	D	10000000555051	SEEMA UBEROI,ASHA UBEROI,	25/11/2022	200	21/11/2022	0	200	29/11/2022	5	6473
6	IN300183-12105847	D	10000000070897	V JAGADEESAN,BRINDA JAGADEESAN,	26/11/2022	100	25/11/2022	0	100	30/11/2022	5	6474
7	IN301330-20055109	D	10000000252844	GOPAL KRISHNA GUPTA,VIPULA GUPTA,PRADEEP KUMAR GUPTA	28/11/2022	100	25/11/2022	0	100	03/12/2022	6	6475
8	IN301330-20055109	D	10000000252845	GOPAL KRISHNA GUPTA,VIPULA GUPTA,PRADEEP KUMAR GUPTA	28/11/2022	100	25/11/2022	0	100	03/12/2022	6	6476
9	IN301039-24427016	D	10000000013121	KAILASBEN JAGDISHCHANDRA THAKRAR,,	21/12/2022	200	17/12/2022	200	0	26/12/2022	6	6477

SUMMARY						
	QUANTITY DOWNLOADED	#NOS.	QUANTITY REJECTED	#NOS.	QUANTITY ACCEPTED	#NOS
DEMAT	1145	9	300	2	845	7
REMAT	0	0	0	0	0	0
Total	1145	9	300	2	845	7



ARAVALI SECURITIES & FINANCE LIMITED ISIN: INE068C01015 LIST OF DEMAT/REMAT/REJECTION(CDSL) FROM: 01/10/2022 TO 31/12/2022

S.NO.	CLIENT ID	DRN/RRN	NAME OF SHAREHOLDERS	RECEIPT DATE	QTY REQ.	SETUP DATE	QTY REJ.	QTY ACP.	CONF DATE	DAYS	RTA REF
1	1201060004411903	10489216	VISHNU KANTA BADARYA,DAL CHAND BADARYA,	15/10/2022	150	10/10/2022	150	0	18/10/2022	4	1616
2	1202990000284212	10518225	CHINTAMANI JAIN,,	10/11/2022	50	07/11/2022	0	50	14/11/2022	5	1617
3	1301380000288249	10538201	VIBHA SHASHIKANT PATIL,SHASHIKANT DEVJI PATIL,	28/11/2022	200	24/11/2022	200	0	02/12/2022	5	1618
4	1301380000288234	10538206	SHASHIKANT DEVJI PATIL,VIBHA SHASHIKANT PATIL,	28/11/2022	200	24/11/2022	200	0	02/12/2022	5	1619
5	1202890001979385	10541061	BHANWARLAL GOVINDRAM CHOTIA,,	30/11/2022	100	28/11/2022	0	100	02/12/2022	3	1620
6	1202990001575149	10569228	RAVI KANT DEWAN,,	24/12/2022	100	20/12/2022	0	100	28/12/2022	5	1621

SUMMARY						
	QUANTITY DOWNLOADED	#NOS.	QUANTITY REJECTED	#NOS.	QUANTITY ACCEPTED	#NOS
DEMAT	800	6	550	3	250	3
REMAT	0	0	0	0	0	0
Total	800	6	550	3	250	3

