

The General Manager, Department of Corporate Services, BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400001	The Manager- Listing Compliance The National Stock Exchange of India Limited ‘Exchange Plaza’ C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai-400051
Security Code : 532796	Symbol: LUMAXTECH

Sub: Intimation to Shareholders for Transfer of Unclaimed/Unpaid Interim Dividend for the Financial Year 2015-16 and the underlying Equity Shares to Investor Education and Protection Fund (IEPF)

Ref: Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (the “SEBI LODR Regulations, 2015”)

Dear Sir/Ma’am,

Pursuant to Regulation 30 of the SEBI LODR Regulations, 2015, please find enclosed herewith the copy of the letter(s) dispatched to the Shareholders of the Company on January 07, 2023. These letter(s) have been sent to the Shareholders who have not encashed their dividend for a period of consecutive seven years starting from the Interim Dividend declared during the financial year 2015-16 and whose Unclaimed/Unpaid Interim Dividend for the financial year 2015-16 along with the underlying Equity Shares are liable to be transferred to the Investor Education and Protection Fund (IEPF), if not claimed **on or before April 15, 2023 (the due date for transfer to IEPF)**.

The enclosed reminder letters have been sent to the shareholders pursuant to Section 124(6) of the Companies Act, 2013 read with Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 as amended time to time, to inform them that they should encash/claim their dividend **on or before April 15, 2023** which is the due date for transfer of equity shares alongwith unclaimed/unpaid interim dividend of financial year 2015-16, failing which the Company shall transfer/credit their shares to the demat account of the IEPF Authority along with the unclaimed/unpaid dividend amount within 30 days from the due date.

The same shall also be made available on the website of the Company at www.lumaxworld.in/lumaxautotech

You are requested to take the above information on records and oblige.

Thanking you,

Yours faithfully,

For **Lumax Auto Technologies Limited**

RAAJESH
KUMAR
GUPTA
Digitally signed
 by RAAJESH
 KUMAR GUPTA
 Date: 2023.01.08
 16:57:44 +05'30'

Raajesh Kumar Gupta
Vice President and Group Head
(Secretarial, Legal & Internal Audit)
Membership No. A8709
Encl.: As stated above

Lumax Auto Technologies Limited
 Plot No. -878, Udyog Vihar
 Phase-V, Gurugram-122016
 Haryana, India

T +91 124 4760000
 E shares@lumaxmail.com

www.lumaxworld.in

Lumax Auto Technologies Limited - REGD. OFFICE: 2nd Floor, Harbans Bhawan-II, Commercial Complex, Nangal Raya,
 New Delhi-110046, T - +91 11 4985 7832, E - cao@lumaxmail.com

LUMAX AUTO TECHNOLOGIES LIMITED

CIN: L31909DL1981PLC349793

Regd. Office: 2nd Floor, Harbans Bhawan-II, Commercial Complex, Nangal Raya, New Delhi- 110046

Corporate Office: Plot No. 878, Udyog Vihar, Phase V, Gurugram- 122016, Haryana

Telephone: 0124-4760000, Email Id: shares@lumaxmail.com

Website: www.lumaxworld.in/lumaxautotech

IMPORTANT & URGENT FOR YOUR IMMEDIATE ACTION

LATL/IEPF/2015-16/

Date: January 07, 2023

Name of the Sole / First Holder:

Name of the Second Holder:

Name of the Third Holder:

Address:

Folio/DP Id Client Id:

Dear Shareholder(s),

Subject: Compulsory Transfer of Equity Shares of the Company in respect of which dividend has been unclaimed/unpaid for seven consecutive years or more, to the Demat Account of Investor Education and Protection Fund (IEPF) Authority.

As you are aware, **Lumax Auto Technologies Limited** ("the Company") has been declaring and paying dividend on its paid-up equity share capital from time to time. The dividend declared by the Company has been remitted either electronically or by sending dividend warrants to the registered address of its eligible shareholders.

Transfer of unclaimed/unpaid dividend Amount to Investor Education and Protection Fund (IEPF) for the year 2015-2016.

As per the provisions of Section 124(5) of the Companies Act, 2013 ("the Act"), any money transferred to the Unpaid Dividend Account of a Company which remains unclaimed or unpaid for a period of seven years from the date of such transfer shall be transferred by the Company to the Investor Education and Protection Fund (IEPF) established under sub-section (1) of Section 125 of the Act. The complete unpaid dividend details for the last consecutive 7 years are available on the Company's website www.lumaxworld.in/lumaxautotech.

Further, pursuant to the provisions of Section 124(6) of the Act read with Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 (IEPF Rules), all shares in respect of which dividend has not been paid or claimed for seven consecutive years or more shall also be transferred to IEPF i.e. in case any dividend is paid or claimed for any year during the said period of seven consecutive years, the shares shall not be transferred to IEPF.

It is observed from our records that you have not encashed/claimed your dividends for last seven continuous years (with reference to interim dividend for the year 2015-16 onwards) and accordingly, in terms of the provisions of the Act and IEPF Rules and subsequent amendment thereto, your shares are liable to be transferred to IEPF:

Financial year ended	Date of declaration of Dividend	Dividend Amount (Rs.)
March 31, 2016 (Interim)	12-03-2016	
March 31, 2017	18-08-2017	
March 31, 2018	21-08-2018	
March 31, 2019	23-08-2019	
March 31, 2020 (Interim)	19-02-2020	
March 31, 2020	28-08-2020	
March 31, 2021	31-08-2021	
March 31, 2022	22-07-2022	

In view of the above, you are requested to immediately claim your unclaimed/unpaid dividends to avoid the transfer of your shares to IEPF by sending following documents to the Company or to Bigshare Services Private Limited, the Registrar and Transfer Agents (RTA) of the Company at the address mentioned in this letter **on or before 15th April, 2023**:

1. Letter of Undertaking duly filled and signed by all the joint holders, if any (enclosed herewith)
2. An Indemnity Bond (on a Non-Judicial Stamp paper of Rs. 20/-), in case the amount of dividend claimed is more than Rs. 1000/- and on a Non-Judicial Stamp paper of Rs. 100/-, in case the amount of dividend claimed is more than Rs. 5000/-
3. Request letter for "not to transfer the shares to IEPF Authorities
4. Self-attested copy of PAN Card and Address Proof
5. Investor Service Request Form ISR - 1, Form ISR - 2 and Form No. SH 13 (Nomination Form) duly filled as per the instructions stated therein along with the supporting documents including original cancelled cheque stating your name as the Account holder. (Can download the form from Company's website www.lumaxworld.in/lumaxautotech. (For shares held in physical form)

In case you fail to claim the above dividend, all your shares (whether held in physical or electronic form) will be transferred by the Company to IEPF, along with the unpaid / unclaimed dividend for the financial year 2015-16.

Transfer of shares in respect of which Dividend is unpaid/unclaimed to IEPF:

The Company shall inform the Depository by way of corporate action for transfer of shares in favour of IEPF.

However, you can claim from the IEPF Authority both unclaimed dividend amount and the shares transferred to IEPF Demat Account by making an application in Form IEPF-5 online and sending the physical copy of the same duly signed (as per registered specimen signature) along with requisite documents enumerated in the IEPF Rules, to the Company at its Corporate Office or to Bigshare Services Private Limited, RTA of the Company for verification of your claim. On receipt of the Form IEPF 5 along with the requisite documents, the Company shall proceed to file the verification report to IEPF Authority for refund of the unclaimed dividend amount and transfer of the shares back to the credit of the shareholder. The IEPF Rules and the application form (Form IEPF-5), as prescribed by the Ministry of Corporate Affairs, are available on the website of the Ministry of Corporate Affairs at www.iepf.gov.in.

In case, we do not hear anything from you on this intimation letter and the dividend amounts are not claimed within the stipulated period i.e. **on or before 15th April, 2023**, the Company shall with a view to comply with the requirements of the said IEPF Rules, transfer the shares to the Demat Account of IEPF Authority, without any further notice, by following the due procedure as stipulated in the IEPF Rules.

Please note that once the dividend and the corresponding shares are transferred to IEPF Authority, no claim shall lie against the Company in respect of the unclaimed dividend amount and shares transferred to IEPF Authority pursuant to the said IEPF Rules. It may also please be noted that all subsequent corporate benefits such as Bonus Shares, Dividend etc. that may accrue in relation to the above shares will also be credited to the said IEPF Demat Account.

The Company has also uploaded complete details of such shareholder(s) and shares due to be transferred to the IEPF Authority on its website www.lumaxworld.in/lumaxautotech. Shareholders are requested to visit the website of the Company to verify the details of unclaimed dividends and the shares liable to be transferred to the IEPF Authority.

Please feel free to contact the Company/ Bigshare Services Private Limited, in case you have any queries at their following address / email / telephone number:

The Company Lumax Auto Technologies Limited Corporate Office: Plot No. 878, Udyog Vihar, Phase – V, Gurugram- 122016, Haryana Phone: 0124 – 4760000 Email: shares@lumaxmail.com Website: www.lumaxworld.in/lumaxautotech	The Registrar and Transfer Agents (RTA) Bigshare Services Private Limited Office No. S6-2, 6 th Floor, Pinnacle Business Park Next to Ahura Centre, Mahakali Caves Road, Andheri (East), Mumbai 400093, Maharashtra, India Phone: 022 – 62638200 Email: vinod.y@bigshareonline.com Website: www.bigshareonline.com
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Thanking You,

Yours faithfully,
For **Lumax Auto Technologies Limited**

Sd /-
Raajesh Kumar Gupta
(Company Secretary)
M. No. A8709

LUMAX AUTO TECHNOLOGIES LIMITED

CIN: L31909DL1981PLC349793

Regd. Office: 2nd Floor, Harbans Bhawan-II, Commercial Complex, Nangal Raya, New Delhi- 110046

Corporate Office: Plot No. 878, Udyog Vihar, Phase V, Gurugram- 122016, Haryana

Telephone: 0124-4760000, Email Id: shares@lumaxmail.com

Website: www.lumaxworld.in/lumaxautotech

IMPORTANT & URGENT FOR YOUR IMMEDIATE ACTION

LATL/UNCLAIMED DIVIDEND/2015-16

Date: January 07, 2023

Name of the Sole / First Holder:

Name of the Second Holder:

Name of the Third Holder:

Address:

Folio/DP Id Client Id:

Dear Shareholder(s),

Subject: Compulsory Transfer of unclaimed/unpaid dividend Amount to the Demat Account of Investor Education and Protection Fund (IEPF) Authority for the year 2015-2016.

As you are aware, **Lumax Auto Technologies Limited** (“the Company”) has been declaring and paying dividend on its paid-up equity share capital from time to time. The dividend declared by the Company has been remitted either electronically or by sending dividend warrants to the registered address of its eligible shareholders.

As per the provisions of Section 124(5) of the Companies Act, 2013 (“the Act”), any money transferred to the Unpaid Dividend Account of a Company which remains unclaimed or unpaid for a period of seven years from the date of such transfer shall be transferred by the Company to the Investor Education and Protection Fund (IEPF) established under sub-section (1) of Section 125 of the Act. The complete unpaid dividend details for the last consecutive 7 years are available on the Company’s website www.lumaxworld.in/lumaxautotech.

It is observed from our records that you have not encashed/claimed your dividends for the year 2015-16 and accordingly, in terms of the provisions of the Act and IEPF Rules and subsequent amendment thereto, your unclaimed dividend amount for the year 2015-16 is liable to be transferred to IEPF.

Further, we would like to inform you that as per our records, the following dividends which were paid / remitted to you in the last seven consecutive years (with reference to interim dividend for the year 2015-16 onwards) remain unpaid / unclaimed:

Financial year ended	Date of declaration of Dividend	Warrant Number	MICR Number	Dividend Amount (Rs.)
March 31, 2016 (Interim)	12-03-2016			
March 31, 2017	18-08-2017			
March 31, 2018	21-08-2018			
March 31, 2019	23-08-2019			
March 31, 2020 (Interim)	19-02-2020			
March 31, 2020	28-08-2020			
March 31, 2021	31-08-2021			
March 31, 2022	22-07-2022			

In view of the above, you are requested to immediately claim your unclaimed/unpaid dividends to avoid the transfer of your dividend amount to IEPF by sending following documents to the Company or to Bigshare Services Private Limited, the Registrar and Transfer Agents (RTA) of the Company at the address mentioned in this letter **on or before 15th April, 2023:**

1. Letter of Undertaking duly filled and signed by all the joint holders, if any (enclosed herewith)

2. An Indemnity Bond (on a Non-Judicial Stamp paper of Rs. 20/-), in case the amount of dividend claimed is more than Rs. 1000/- and on a Non-Judicial Stamp paper of Rs. 100/-, in case the amount of dividend claimed is more than Rs. 5000/-
3. Request letter for "not to transfer the shares to IEPF Authorities
4. Self-attested copy of PAN Card and Address Proof
5. Investor Service Request Form ISR - 1, Form ISR - 2 and Form No. SH 13 (Nomination Form) duly filled as per the instructions stated therein along with the supporting documents including original cancelled cheque stating your name as the Account holder. (Can download the form from Company's website www.lumaxworld.in/lumaxautotech. (For shares held in physical form)

Please forward the same directly to Company's Registrar and Transfer Agent i.e **Bigshare Services Private Limited** at their Mumbai address for processing and to obtain a duplicate dividend warrant / Demand Draft / electronic transfer.

In case, we do not hear anything from you on this intimation letter and the dividend amount for Interim dividend for the Financial Year 2015-16 is not claimed within the stipulated period i.e. **on or before 15th April, 2023**, the Company shall with a view to comply with the requirements of the said IEPF Rules, transfer the unpaid/unclaimed Interim dividend for the Financial Year 2015-16 to the IEPF Authority, without any further notice, by following the due procedure as stipulated in the IEPF Rules.

We request you to treat this matter as most urgent and respond immediately. Please ensure that your reply reaches us on or before **15th April, 2023**.

Please note that once the dividend is credited to the IEPF Authority, no claim shall lie against the Company in respect of unclaimed dividend amount transferred to IEPF Authority pursuant to the said IEPF Rules.

However, you can claim from the IEPF Authority the unclaimed dividend amount transferred to IEPF Demat Account by making an application in Form IEPF-5 online and sending the physical copy of the same duly signed (as per registered specimen signature) along with requisite documents enumerated in the IEPF Rules, to the Company at its Corporate Office or to Bigshare Services Private Limited, RTA of the Company for verification of your claim. On receipt of the Form IEPF 5 along with the requisite documents, the Company shall proceed to file the verification report to IEPF Authority for refund of the unclaimed dividend amount back to the credit of the shareholder. The IEPF Rules and the application form (Form IEPF-5), as prescribed by the Ministry of Corporate Affairs, are available on the website of the Ministry of Corporate Affairs at www.iepf.gov.in.

The Company has also uploaded complete details of such shareholder(s) whose unclaimed dividends are liable to be transferred to the IEPF Authority, on its website www.lumaxworld.in/lumaxautotech. Shareholders are requested to visit the website of the Company to verify the details of unclaimed dividend(s) liable to be transferred to the IEPF Authority.

Please feel free to contact the Company/ Bigshare Services Private Limited, in case you have any queries at their following address / email / telephone number:

<p>The Company Lumax Auto Technologies Limited Corporate Office: Plot No. 878, Udyog Vihar, Phase – V, Gurugram- 122016, Haryana Phone: 0124 – 4760000 Email: shares@lumaxmail.com Website: www.lumaxworld.in/lumaxautotech</p>	<p>The Registrar and Transfer Agents (RTA) Bigshare Services Private Limited Office No. S6-2, 6th Floor, Pinnacle Business Park Next to Ahura Centre, Mahakali Caves Road, Andheri (East), Mumbai 400093, Maharashtra Phone: 022 – 62638200 Email: vinod.y@bigshareonline.com Website: www.bigshareonline.com</p>
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Thanking You,

Yours faithfully,

For **Lumax Auto Technologies Limited**

Sd /-

Raajesh Kumar Gupta

(Company Secretary)

M. No. A8709

LETTER OF UNDERTAKING

[For transfer of Unpaid Dividend amount to your Bank Account]

To,
The Company Secretary
Lumax Auto Technologies Limited
Corporate Office: Plot No. 878, Udyog Vihar, Phase – V,
Gurugram- 122016, Haryana

Ref : Folio No. / DP ID / Client ID : _____

I / We* hereby confirm that I / We have not encashed, negotiated or otherwise dealt in respect of the following Dividend paid by the Company in the last seven years, so as to create any adverse claim upon the amount of the Dividend Warrant(s).

The following are the details of the unclaimed dividend(s) for the subsequent financial years :

Dividend Warrant No.	MICR No.	Amount (in Rs.)	Financial year

In consideration of crediting the amounts directly to my Bank Account as mentioned below, in lieu of the Dividend Warrant(s) lying unpaid / unclaimed in my / our name(s) and which are irretrievable / lost / misplaced, I / We hereby agree and undertake to hold the Company, its Directors and / or its Officers harmless and to keep them protected from / against all loss(es), cost(s) or damage(s) which you may sustain or incur by reason of the Company crediting such amount into my Bank account or by the original Dividend Warrant(s) being, at any time, found and presented for payment by any person or persons claiming to be the holder(s) of the Dividend Warrant(s) or in any way interested therein.

I / We further agree and undertake to return to you the original Dividend Warrant(s), should it be found by me / us or again come into my / our possession at any time hereinafter.

Particulars	Name	Signature
First Holder		
Second Holder		
Third Holder		
Address of First Holder		
Bank Account Details: (for electronic credit of unpaid / unclaimed dividends and all future dividends)		
Name of the Bank		
Name of the Branch		
Account No. (as appearing in your Cheque Book)		
Account Type (Current / Savings / Cash Credit)		
9 Digit MICR Number (as appearing on the MICR cheque issued by the Bank) Please enclose a photocopy of a cheque for verification		
11 Digit IFSC Code		

Name / Address / Signature of Shareholder(s)
Witness

Name / Address / Signature of

1. _____

1.

Name :
Address :
(First Holder)

2. _____

2.

Name :
Address
(Second Holder)

3. _____

Name :
Address
(Third Holder)

Date :
Place :

INDEMNITY BOND
(For claiming Unpaid Dividend Warrant where the amount exceeds Rs. 1000/-)
(to be executed on Non-Judicial Stamp paper)

This Indemnity bond is made by:

Mr./Ms. _____ Son/Daughter/Wife of _____ residing at _____

Mr./Ms. _____ Son/Daughter/Wife of _____ residing at _____

In favour of Lumax Auto Technologies Limited, having its Registered office at 2nd Floor, Harbans Bhawan-II, Commercial Complex, Nangal Raya, New Delhi- 110046 (hereinafter referred to as "the Company").

WHEREAS I/we am/are / were the registered shareholder(s) of the above Company holding _____ Equity Shares under DP ID & Client ID No / Registered Folio no. _____.

AND WHEREAS we understand that the Company has dispatched to me/us the Dividend Warrant(s) as detailed below:

Dividend Warrant No	Dated	Drawn on Bank	Amount (Rs.)	Pertaining to the Year

AND WHEREAS the said Dividend Warrant has been lost/misplaced/mutilated/ destroyed and is not traceable for any reason and that I/we have not encashed the said Warrant in any manner whatsoever.

AND WHEREAS I/we have requested the Company to credit my Bank account with the entitled amount in lieu of original Dividend Warrant.

NOW THEREFORE in consideration of the Company so crediting my Bank account in lieu of lost/misplaced/ mutilated/ destroyed Dividend Warrant, I/we hereby for myself/ourselves, my/our heir/s, executor/s, administrator/s and assigns agree to indemnify and save harmless the Company against loss/expenses suffered or incurred by the Company by reason of or in consequence of such crediting of amount in lieu of lost/misplaced/mutilated/ destroyed Warrant(s), as aforesaid.

I/We undertake to return to you for cancellation the original Dividend Warrant (s) if and when it/they may be found, free from any claims or charges or demand whatsoever.

Bank Account Details (for electronic credit of unpaid dividends and all future dividends)

- Name of the Bank:
- Name of the Branch:
- Account Number (as appearing in your cheque book):
- Account Type (Saving (10) / Current (11) / Cash Credit (13))
- 9 Digit MICR Number (as appearing on the cheque leaf issued by the bank)
Please enclose a photocopy of a cheque for verification
- 11 Digit IFSC Code:

IN WITNESS WHEREOF THE UNDERSIGNED HAVE SIGNED THIS ON THE _____ DAY OF _____ TWO THOUSAND _____.

Name / Address / Signature of Shareholder(s)

Name / Address / Signature of Witness

1. _____
 Name :
 Address :
 (First Holder)

1. _____

2. _____
 Name :
 Address :
 (Second Holder)

2. _____