

CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3rd Floor, 11/13, Horniman Circle, Fort, Mumbai 400001

Tel: 22662150 www.ctcl.co.in inctclbse@gmail.com CIN:L51909MH1980PLC231713

Date:06/10/2020

To,
The Manager
DCS-CRD
BSE Ltd.
Phiroze Jeejeebhoy Towers
Dalal Street, Fort,
Mumbai – 400001

Scrip Code: 504340

Sub:- Compliance Certificate pursuant to Regulation 7 (3) of the SEBI(LODR) Regulations, 2015

Dear Sir/Madam,

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a certificate, duly signed by Authorised Representaive of Purva Sharegistry (India) Pvt. Ltd.Share Transfer Agent and Compliance Officer of the Company, for the half year ending on 30th September, 2020.

This is for your information and records.

Thanking You
Yours Faithfully
For Confidence Finance and Trading Limited

Shweta



SHWETA SHARMA
COMPANY SECRETARY &
COMPLIANCE OFFICER

Encl: as above

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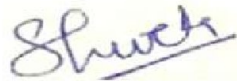
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COMPLIANCE CERTIFICATE PURSUANT TO REGULATION 7 (3) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2020

Pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., **Purva Sharegistry (India) Pvt. Ltd.** ("RTA"), having its Registered office at Unit no. 9 Shiv Shakti Ind. Estt. J.R. Borichamarg Opp. Kasturba Hospital Lane Lower Parel (E) Mumbai 400 011 and who are registered as Registrar & Transfer Agent in category-I with the SEBI vide Registration Number INR00000112.

For Confidence Finance and Trading Limited



**CS Shweta Sharma
Company Secretary
and Compliance Officer**

For Purva Sharegistry (India) Pvt. Ltd



**Deepali Dhuri
Compliance Officer**

Date: 6th October, 2020