

# PALASH SECURITIES LIMITED

CIN – L74120UP2015PLC069675

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail – [palashsecurities@birlasugar.org](mailto:palashsecurities@birlasugar.org); Website-[www.birla-sugar.com](http://www.birla-sugar.com)

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11<sup>th</sup> May, 2020

The Secretary  
National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor  
Plot No. C/1, G Block  
Bandra- Kurla Complex, Bandra (E)  
Mumbai 400 051

The Dy. General Manager  
Corporate Relationship Department  
BSE Ltd.  
1st Floor, New Trading Ring,  
Rotunda Building  
P.J. Towers, Dalal Street, Fort  
Mumbai-400 001

**Symbol : PALASHSECU**

**Stock Code : 540648**

Dear Sirs,

**Sub : Compliance Certificate for the half year ended 31<sup>st</sup> March, 2020**

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate received from M/s. S. M. Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal etc. for the half year ended 31<sup>st</sup> March, 2020.

Please acknowledge receipt.

Thanking you

Yours faithfully,  
**or Palash Securities Limited**



Mayuri Raja  
Company Secretary  
ACS : 26022



Encl : as above

Fax : (033) 2236-7135  
Email : smguptaandco@yahoo.com  
smguptaandco@gmail.com  
Website : www.smgupta.com

Offi. : 2236 1385,2236 7135  
Phones : Resi. : 2534 8148  
Mobile : 98300 57568

## **S. M. GUPTA & CO.**

**COMPANY SECRETARIES**

**P-15 BENTINCK STREET, CALCUTTA-700 001**

Ref. No.....

Dated.....

### **CERTIFICATE** **FOR THE HALF YEAR ENDED 31.03.2020**

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. PALASH SECURITIES LIMITED (CIN: L74120UP2015PLC069675)** maintained by them and their Share Transfer Agents M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from **01.10.2019** to **31.03.2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification , we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) received during the period from **01.10.2019** to **31.03.2020** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

The Certificate is being issued under the conditions of Lock Down due to COVID-19 with limited available resources.

Place : Kolkata

Signature : Sd/-

Date : 09.05.2020

Name : S.M.GUPTA

Membership No : FCS-896

C. P. Number : 2053

UDIN : **F00896B000220379**