

October 12, 2020.

Senior General Manager- Listing Compliance
BSE Limited, 24th Floor,
P J Towers, Dalal Street,
Mumbai 400001

Respected Sir,

Ref.:- Scrip Code: 507864

Sub.:- Compliance of Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the Half year ended 30th September, 2020.

In compliance of the captioned Regulation please find enclose herewith certificate from M/s Parikh Parekh & Associates, Practising Company Secretaries confirming the compliance of the Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended 30th September, 2020.

Please acknowledge receipt of this letter.

Thanking you,

Yours truly,
For **PIONEER INVESTCORP LIMITED**



AMIT CHANDRA
COMPANY SECRETARY
Encl.:- as above.

PARIKH PAREKH & ASSOCIATES
COMPANY SECRETARIES

Office

111, 11th Floor, Sai-Dwar CHS Ltd.,
Sab TV Lane, Opp Laxmi Industrial Estate,
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai: 400053
Tel No.: 26301232 / 26301233 / 26301240
Email: cs@parikhassociates.com
parikh.associates@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020.

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

As informed to us electronically by Satellite Corporate Services Private Limited, Registrar and Share Transfer Agents (RTA) of **Pioneer Investcorp Limited** (the Company), for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) There were no requests relating to transfers, deletion of name, transmission of securities, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the period from 01st April, 2020 to 30th September, 2020.

B) Any other information, if applicable: --

For PARIKH PAREKH & ASSOCIATES

**Mohammad
Pillikandlu**

Digitally signed by Mohammad Pillikandlu
DN: c=IN, o=Personal, postalCode=400058,
st=Maharashtra,
2.5.4.20=B214168fbf82ba39874cb0ab5333
26d2fd33f894c2ce72823a068907c552b3d7,
serialNumber=572ebce0431e121c4dcbac8
1b193b6412e2b2e04485121c598eda4564a
57c0b8, cn=Mohammad Pillikandlu
Date: 2020.10.09 16:45:37 +05'30'

Place: Mumbai
Date: October 09, 2020

Signature:
Name of Company Secretary: **Mohammad Pillikandlu**

FCS: 10619 CP: 14603
UDIN: F010619B000897989