

# V.B. Desai Financial Services Limited

Category I Merchant Banker - SEBI Registration No. INM 000002731

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April 13, 2023

The Corporate Relations Department  
The BSE Ltd.  
P.J.Towers, Dalal Street,  
Mumbai – 400 001

Dear Sirs,

**Ref: Company Code No. 511110**

**Sub: Certificate under clause 40 (9) of Listing Obligations and Disclosure Requirements for the year ended 31<sup>st</sup> March 2023**

With reference to the above, we enclose the certificate under clause 40 (9) of Listing Obligations and Disclosure Requirements issued by Hariharan & Associates, Practicing Company Secretary for the year ended on 31<sup>st</sup> March 2023.

Kindly take the same on your record.

Thanking you,  
Yours faithfully,  
For V.B. DESAI FINANCIAL SERVICES LIMITED



Kamlesh M Gagvani  
Company Secretary

Encl: As above

**M/S. Hariharan and Associates**  
**Company Secretaries**

**Shop No-03, Sai Darshan CHSL,**  
**Sector - 23, Nerul East,**  
**Navi Mumbai - 400 706.**

The Board of Directors  
V.B. Desai Financial Services Limited  
Cama Building, 1<sup>st</sup> Floor  
24/26, Dalal Street,  
Fort, Mumbai – 400 001

**CERTIFICATE FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2023**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **V.B. DESAI FINANCIAL SERVICES LIMITED** maintained by **PURVA SHAREGISTRY (I) PVT. LIMITED** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April 2022** to **31<sup>st</sup> March 2023** for the purpose of issuing a Certificate as per Clause 40 (9) of the Listing Obligations and Disclosure Requirements as applicable to **V.B. DESAI FINANCIAL SERVICES LIMITED** with BSE and based on the information provided by the Company and by the Registrar and Share Transfer Agent of the Company, I hereby certify that the Company has delivered during year ended on **31<sup>st</sup> March 2023**:

- a) Share Certificate(s) relating to the deletion of name received during the period from **1<sup>st</sup> April 2022** to **31<sup>st</sup> March 2023** as entered in the Memorandum have been issued within thirty days of the date of lodgement.
- b) No requests for transfer, transmission, subdivision, consolidation, renewal, exchange or endorsement of calls have received during the period under review.
- c) Share Certificate(s) relating to issue of duplicate share certificates have been issued within thirty days of the date of lodgement of proper documents.
- d) Any other information, if applicable – Nil



**NUREN LODAYA**  
**COMPANY SECRETARY**  
**HARIHARAN & ASSOCIATES**  
M. No. A60128: CP 24248

Date : April 13, 2023  
Place: Navi Mumbai  
UDIN: A060128E000088904