



BSE Limited  
First Floor, New Trading Ring  
Rotunda Building, P J Towers  
Dalal Street, Fort  
Mumbai 400 001  
**Kind Attn: Mr Khushro A. Bulsara**  
**General Manager & Head**  
**Listing Compliance & Legal Regulatory**

National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor, Plot No. C/1,  
G Block, Bandra - Kurla Complex  
Bandra (East), Mumbai 400 051  
**Kind Attn: Mr Lokesh Bhandari**  
**Senior Manager**  
**Listing & Compliance**

October 13, 2020  
Sc no – 16143

Dear Sir / Madam,

**Ref: ISIN: INE155A01022 – Ordinary Shares**  
**IN9155A01020 – ‘A’ Ordinary Shares**  
**Debt Securities on NSE & BSE**

**Sub: Compliance under Regulations 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulations 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 enclosed please find certificates, issued for Ordinary Shares and ‘A’ Ordinary Shares of the Company, by Parikh & Associates - Practicing Company Secretaries, both dated October 13, 2020 during the period from April 1, 2020 to September 30, 2020.

Yours faithfully,  
For Tata Motors Limited

Hoshang K Sethna  
Company Secretary

Encl: a/a

**TATA MOTORS LIMITED**

Bombay House 24 Homi Mody Street Mumbai 400 001  
Tel 91 22 6665 8282 Fax 91 22 6665 7799  
[www.tatamotors.com](http://www.tatamotors.com) CIN L28920MH1945PLC004520

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2020.**

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further, SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to Ordinary Shares of TATA MOTORS LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

A) 1.a. The Company has delivered during half year ended on 30<sup>th</sup> September, 2020 share certificates relating to the transfer deeds lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter received during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment, barring some cases, which were processed within thirty days during the lockdown due to COVID-19 pandemic but share certificates were dispatched post resumption of postal services.

1. b. The Company has delivered during half year ended on 30<sup>th</sup> September, 2020 share certificates in respect of requests relating to transmission of securities/ deletion of name within the time permitted by SEBI vide circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020, received during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020. As informed to us there were no requests relating to transposition of securities, sub-division (split), renewal, consolidation and exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: --

Place: Mumbai  
Date: October 13, 2020

**For Parikh & Associates**

**P N Parikh**

**P. N. Parikh**

**FCS: 327 CP: 1228**

**UDIN: F000327B000938211**

Digitally signed by P N Parikh  
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Parikh  
Date: 2020.10.13 19:27:14 +05'30'

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2020.**

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further, SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to 'A' Ordinary Shares of TATA MOTORS LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020.

1.b. The Company has delivered during half year ended on 30<sup>th</sup> September, 2020 share certificates in respect of requests relating to transmission of securities/deletion of name received during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020, within thirty days, from the respective date of lodgment. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the said period. .

B) Any other information, if applicable: --

Place: Mumbai  
Date: October 13, 2020

**For Parikh & Associates**

**P N**

**Parikh**

Digitally signed by P N Parikh  
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Date: 2020.10.13 19:27:37 +05'30'

**P. N. Parikh**

**FCS: 327 CP: 1228**

**UDIN: F000327B000938319**



Corporate Relations Department - Listing  
BSE Ltd  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400 001  
**Kind Attn: Mr Khushro A. Bulsara**  
**General Manager & Head**  
**Listing Compliance & Legal Regulatory**

Listing Compliance Department  
National Stock Exchange of India Ltd  
Exchange Plaza  
Bandra Kurla Complex  
Bandra (E) Mumbai 400 051  
**Kind Attn: Mr Lokesh Bhandari**  
**Senior Manager**  
**Listing and Compliance**

October 13, 2020  
Sc no- 16143

Dear Sir/Madam,

**Re: Debt Securities listed on NSE & BSE**

**Sub: Compliance under Regulation 61(4) read with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 61(4) read with Regulation 40(9) and Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 enclosed please find a Certificate dated October 9, 2020 received from M/s Parikh & Associates, Practicing Company Secretaries, certifying that all the Debentures issued by the Company are in Demat form, no physical debenture certificates were required to be delivered during the period from April 1, 2020 to September 30, 2020.

Yours faithfully,  
Tata Motors Limited

Hoshang K Sethna  
Company Secretary

Encl: A/a

**TATA MOTORS LIMITED**

Bombay House 24 Homi Mody Street Mumbai 400 001  
Tel 91 22 6665 8282 Fax 91 22 6665 7799  
[www.tatamotors.com](http://www.tatamotors.com) CIN L28920MH1945PLC004520

**CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40 (9) OF  
THE SECURITIES AND EXCHANGE BOARD OF INDIA ( LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS ) REGULATIONS, 2015 FOR THE HALF YEAR  
ENDED 30<sup>th</sup> SEPTEMBER, 2020**

Based on the Information/details provided to us by Tata Motors Limited (“the Company”), we hereby certify that since all the Debentures issued by the Company are in Demat form, no physical debenture certificates were required to be delivered during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015.

For Parikh & Associates

**P N**

**Parikh**

Digitally signed by P N Parikh  
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cn=P N Parikh  
Date: 2020.10.09 11:37:01 +05'30'

Place: Mumbai

Date: October 09, 2020

Name of Company Secretary: **P.N. Parikh**

**FCS: 327**

**CP: 1228**

**UDIN: F000327B000890502**