

Date: 11.06.2020

To,  
Department of Corporate Affairs,  
BSE Limited  
Ground Floor, P. J. Towers,  
Dalal Street, Fort,  
Mumbai-400001  
BSE Scrip Code No. 541735

Sub: Annual Secretarial Compliance Report of Vivanta Industries Limited for the Financial Year ended March 31, 2020

Ref.: SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019

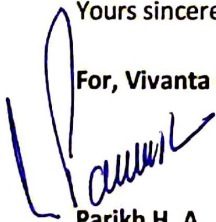
Dear Sir/Madam,

In compliance with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find attached the Annual Secretarial Compliance Report of Vivanta Industries Limited ("the Company") for the Financial Year ended March 31, 2020, issued by Ishit Vyas & Co., Company Secretary, Secretarial Auditors of the Company.

Request you to please take the same on your records.

Thanking you,  
Yours sincerely,

For, Vivanta Industries Limited



Parikh H. A.  
Managing Director  
DIN: 00027820



Encl: As above

CIN : L74110GJ2013PLC075393

**Secretarial compliance report of Vivanta Industries Limited  
for Financial Year ended March 31, 2020**

To,  
The Board of Directors,  
Vivanta Industries Limited

I, Ishit Vyas & Co., have examined:

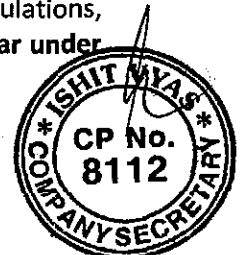
- (a) all the documents and records made available to us and explanation provided by Vivanta Industries Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the Financial Year ended March 31, 2020 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 — **Not Applicable as there was no reportable event during the financial year under review;**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 — **Not Applicable as there was no reportable event during the financial year under review;**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;— **Not Applicable as there was no reportable event during the financial year under review;**



- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **-Not Applicable as there was no reportable event during the financial year under review;**
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) The Securities and Exchange Board of India (Registrar to an Issue and Share Transfer Agents) Regulations, 1993;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the review period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ guidelines specific clause)	Requirement circulars / including	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL				

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NIL				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:



Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	Reg. 27(2) SEBI (LODR) Regulations, 2015. There was delay in disclosing Corporate Governance Report i.e. One day after the due date	2018-2019	The Company has initiated steps to avoid such non-compliance	No such non-compliance was observed during the year under review 2019-2020.
2.	Reg. 29 of SEBI (LODR) Regulations, 2015 The Company had not given prior intimation to the Stock Exchange of Board Meeting within time. Wherein the Financial Statement approval was considered. Company has intimated BSE on 05.02.2019 for the Board Meeting to be held on 08.02.2019	2018-2019	The Company has initiated steps to avoid such non-compliance	No such non-compliance was observed during the year under review 2019-2020.

Place: Ahmedabad  
Date: 11.06.2020  
UDIN: F007728B000334659



For, Ishit Vyas & Co.  
Company Secretaries

Ishit Vyas  
Proprietor  
M. No.: FCS 7728  
C. P. No.: 8112