

**SEC/FILING/BSENSE/2024-25/68A**

**April 26, 2024**

To,  
BSE Limited  
P. J. Towers,  
Dalal Street, Fort,  
Mumbai – 400 001.  
Scrip Code: 511218

National Stock Exchange of India Limited  
Listing Department  
Exchange Plaza, 5th Floor,  
Plot no. C/1, G- Block,  
Bandra-Kurla Complex,  
Mumbai – 400 051.  
NSE Symbol: SHRIRAMFIN

Dear Sir,

**Sub: Compliance Certificate under Regulations 40(9), 40(10) and 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended on March 31, 2024.**

Pursuant to the provisions of Regulations 40(9), 40(10) and 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, kindly find herewith the certificate, received from Practicing Company Secretary M/s. SPNP & Associates, dated April 26, 2024, for the Financial Year ended on March 31, 2024.

You are requested to take the above information on record.

Thanking you,  
Yours faithfully,  
For **Shriram Finance Limited**

**U Balasundararao**  
**Company Secretary and Compliance Officer**

**Encl : a/a**

**Shriram Finance Limited**

(Formerly known as Shriram Transport Finance Company Limited)

**Corporate Office :** Wockhardt Towers, Level - III, West Wing, C-2, G-Block, Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051, Maharashtra. Ph: +91 22 4095 9595  
**Registered Office:** Sri Towers, Plot No.14A, South Phase, Industrial Estate, Guindy, Chennai - 600 032. Tamil Nadu, India. Ph: +91 44 4852 4666  
**Website :** www.shriramfinance.in | Corporate Identity Number (CIN) - L65191TN1979PLC007874



To  
**The Board of Directors**  
**Shriram Finance Limited**  
Sri Towers, 14 A South Phase,  
Industrial Estate,  
Guindy, Chennai - 600 032.

**CERTIFICATE UNDER REGULATION 40(9) AND 61(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31<sup>st</sup> MARCH, 2024**

Securities and Exchange Board of India (SEBI) by circulars number SEBI/HO/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 and SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/70 dated May 25, 2022 has mandated that listed companies shall issue securities in dematerialized form only, while processing requests for inter alia issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, renewal / exchange of securities certificate, endorsement, sub-division/ splitting of securities certificate, consolidation of securities certificates/ folios, transmission and transposition. The circular further mandates that RTA/ Issuer companies shall after verifying and processing the requests, issue a 'Letter of confirmation' in lieu of physical securities certificate(s) to the securities holder / claimant within 30 days of the receipt of such requests after removing objections, if any.

Accordingly, We have examined all the relevant documents, Memorandum of Transfers, Registers and files relating to **M/s. SHRIRAM FINANCE LIMITED** (formerly known as Shriram Transport Finance Company Limited), a Company Registered under the Companies Act, 1956, bearing **CIN: L65191TN1979PLC007874**, maintained by the Registrar and Share Transfer Agent (RTA), **M/s. Integrated Registry Management Services Private Limited** pertaining to equity shares of the Company for the period from **01<sup>st</sup> April, 2023 to 31<sup>st</sup> March 2024** for the purpose of issuing a Certificate as per Regulation 40 (9) and 61 (4) of the Securities And Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company / RTA , we hereby certify the following for the year ended on **31<sup>st</sup> March 2024**.

**SPNP & ASSOCIATES**

Practising Company Secretaries

No.10/28, II floor, 3<sup>rd</sup> Cross street, R.K.Nagar, Raja Annamalaipuram, Chennai – 600028  
snpnassociates@gmail.com #Phone: 044 42153510, 43201250, Mobile: 9566033011





- A) Letter of confirmation relating to the requests received for Share Transmission, Deletion of Name of the deceased shareholder, Transposition, Name change, Issue of Duplicate Share Certificate(s), Certificate Replacement and Issue of Split share Certificate(s) during the period from **01<sup>st</sup> April, 2023 to 31<sup>st</sup> March 2024** entered in the Memorandum of Transfers have been issued within the stipulated period from the receipt of request.
- B) No requests for Transfer, issue of Consolidated Share certificate, exchange or endorsement has been received, during the period from **01<sup>st</sup> April, 2023 to 31<sup>st</sup> March 2024**.

For M/s. SPNP & Associates



**Nithya Pasupathy**  
Partner

**Membership number: F10601**

**Certificate number: 22562**

**Peer Review no. : 1913/2022**

**UDIN: F010601F000246947**

Date: 26/04/2024  
Place: Chennai

**CC: M/S. Integrated Registry Management Services Private Limited, 2nd Floor Kences Towers, 1 Ramakrishna Street North Usman Road T Nagar Chennai - 600017**

**SPNP & ASSOCIATES**

Practising Company Secretaries

No.10/28, II floor, 3<sup>rd</sup> Cross street, R.K.Nagar, Raja Annamalaipuram, Chennai – 600028.  
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