

YBL/CS/2023-24/001

April 04, 2023

National Stock Exchange of India Limited

Exchange Plaza, Plot no. C/1, G Block, Bandra - Kurla Complex, Bandra (E) Mumbai - 400 051

NSE Symbol: YESBANK

BSE Limited

Corporate Relations Department P.J. Towers, Dalal Street Mumbai – 400 001

BSE Scrip Code: 532648

Dear Sirs,

Sub: <u>Transfer of unclaimed Dividend to Investor Education and Protection Fund (IEPF)</u>
<u>Authority & Transfer of Equity shares to DEMAT account of IEPF Authority.</u>

Pursuant to Section 124 of the Companies Act, 2013 (the "Act"), Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, as amended ("the Rules"), and Regulation 47(1)(d) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the following:

- 1. Reminder letter sent to concerned Shareholder(s) whose dividend(s) are unclaimed for period of 7 consecutive years and whose shares are liable to be transferred to IEPF Authority during the Financial year 2023-24.
- 2. Copies of the newspapers advertisement(s) published on April 04, 2023, giving Notice of Transfer of Equity Shares of the bank to Investor Education and Protection Fund Authority as follows:
 - a) Financial Express (English All India Edition)
 - b) Loksatta (Marathi Mumbai Edition)

Pursuant to the provisions of the Act and the Rules made thereunder the Bank has sent the abovementioned reminder letters in electronic mode as well as in a physical mode to the concerned Shareholder(s) at their registered email address and/or also at the latest available address.

The above information is also available on the Bank's website i.e., www.yesbank.in.

You are requested to take the above on record.

Thanking you,

Yours faithfully, For **YES BANK LIMITED**

Shivanand R. Shettigar Company Secretary Encl.: As above





YES BANK LIMITED CIN - L65190MH2003PLC143249

Regd. Office: YES BANK House, Off Western Express Highway, Santacruz (E), Mumbai 400055, India

Telephone No. +91 (22) 5091 9800, 6507 9800 Fax: +91 (22) 2619 2866 Website: www.yesbank.in Email Id: shareholders@yesbank.in

March 28, 2023

Ref: Folio / DP Id & Client Id No:

Dear Shareholder,

Sub.: Transfer of unclaimed dividend/Equity Shares of the Bank held by you to Investor Education and Protection Fund (IEPF)

As you are aware, dividend declared by the Bank is remitted either electronically or by sending dividend warrants to the registered address of the eligible shareholders. In case of any dividend remaining unpaid/unclaimed, the Bank has sent reminders periodically to such shareholders for claiming such dividend.

As per Section 124(5) of the Companies Act, 2013 ("the Act"), any dividend remaining unpaid/unclaimed for a period of 7 (seven) years is required to be transferred by the company to the Investor Education and Protection Fund ("IEPF"), a Fund constituted by the Government of India under Section 125 of the Act. The Bank has regularly uploaded on its website and also on the website of the Ministry of Corporate Affairs, Government of India, full details of such unpaid or unclaimed dividends before transferring to IEPF.

Further, the provisions of Section 124(6) of the Companies Act, 2013 and the Investor Education and Protection Fund Authority (Accounting, Auditing, Transfer and Refund) Rules, 2016 ("the Rules"), stipulates that shares on which dividend has not been encashed or claimed for seven consecutive years or more, are liable to be transferred to the IEPF.

We would like to inform you that details of divided declared for the Financial Year 2015-2016, which remain unclaimed/un-encashed for a period of seven years, are given below.

Dividend Warrant No.	Amount(s)	Date of Payment	Year



To claim above unpaid dividends or in case you need any information/clarification, please write to or contact our Registrar and Transfer Agent (RTA) KFin Technologies Limited, Ms. Shobha Anand, Deputy Vice President at Unit: YES Bank Limited, Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500032, Tel: +91-40 - 6716 2222 e-mail ID: einward.ris@kfintech.com from your registered email address or by way of a letter so as to reach the RTA on or before July 06, 2023. In case the dividends are not claimed by the said date, necessary steps will be initiated by the Bank to transfer unclaimed dividend and corresponding shares held by you which are due for transfer to IEPF without further notice, in accordance with the applicable provisions the and Rules.

The unclaimed dividend(s) prior to the year(s) mentioned above has already been transferred to the IEPF, as required under Section 124(5) of the said Act. The unclaimed dividend and corresponding shares thereto, which has been or will be transferred to IEPF Authority's Account can be claimed back by the shareholders from IEPF Authority, by filing Web IEPF-5 Form available on the website www.iepf.gov.in and sending a physical copy of the same duly signed to the Bank along with requisite documents enumerated in the Form IEPF-5. Thanking you,

Yours sincerely, For YES BANK LIMITED

Sd/-Authorised Signatory



WWW.FINANCIALEXPRESS.COM

TUESDAY, APRIL 4, 2023

FINANCIAL EXPRESS

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YES BANK

NOTICE

Transfer of Equity Shares of the Bank to Investor Education and Protection Fund (IEPF) Authority

Notice is hereby given that pursuant to the provisions of Section 124 of the Companies Act, 2013 (the Act) read with the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("the Rules"), as amended, the Equity Shares of the Company ("Bank") in respect of which dividend has remained unclaimed or unpaid for a period of seven consecutive years are required to be transfer by the Bank to the demat account of the IEPF Authority.

The Bank has sent communications to the concerned shareholders whose shares are liable to be transfer to the IEPF Authority at their registered email IDs or latest available address, advising them to claim their unclaimed dividends. The Bank has uploaded details of the concerned shareholders whose shares are due for transfer to the IEPF Authority on its website www.yesbank.in. Shareholders are requested to refer to the website to verify the details of unclaimed dividends and the shares which are liable to be transfer to the IEPF Authority.

The concerned shareholder(s) holding shares in physical form and whose shares are liable to be transfer to the IEPF Authority, may note that upon transfer of shares to IEPF Authority, the original share certificate(s) which are registered in their name will stand automatically cancelled and be deemed non-negotiable. In case of shares were held in dematerialized form, to the extent of shares liable to be transfer, shall be debited from the shareholders' account. In case the concerned shareholders do not claim their unclaimed dividends by July 6, 2023, the Bank shall transfer the shares to the IEPF Authority in compliance of the said the Rules without any further notice to the shareholders and no liability shall lie against the Bank in respect of the shares so transferred.

The shareholders may note that upon transfer of the shares to IEPF Authority, including all benefits accruing on such shares, if any, the same can be claimed only from the IEPF Authority by submitting an online application to the IEPF Authority in Form IEPF-5 available on the website www.iepf.gov.in along with fee specified by the Authority.

For any queries in respect of the above matter, shareholders may contact KFin Technologies Limited, the Registrar & Transfer Agent of the Bank to, Ms. Shobha Anand, Deputy Vice President at Unit: YES BANK Limited, Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500032, Tel: +91- 40 - 6716 2222 e-mail ID: einward.ris@kfintech.com.

For YES BANK Limited Sd/-Shivanand Shettigar Company Secretary

Place: Mumbai Date: April 04, 2023



WW.LOKSATTA.COM मुंबई, मंगळवार, ४ एप्रिल २०२३ 🛨 मुंबई

होंकसता ३





नोंडणीकत आणि कॉर्पोरेट कार्यालयः

येस बँक लिमिटेड : येस बँक हाऊस, वेस्टर्न एक्सप्रेस हायवे लगत, सांताकुडा (पूर्व), मुंबई - 400055, भारत.

फोन: +91 (22) 5091 9800 / +91 (22) 6507 9800 फॅक्स: +91 (22) 2619 2866

वेबसाइटः www.yesbank.in ईमेलः shareholders@yesbank.in सीआयएनः L65190MH2003PLC143249

सूचना बँकेचे समभाग गुंतवणूक प्रशिक्षण आणि संरक्षण फंड (आयईपीएफ) प्राधिकरणाकडे हस्तांतरित करणे

ह्याद्वारे सूचना दिली जात आहे की कंपनी कायदा, 2013 (कायदा) वे कलम 124 मधील तरतुदी ह्या गुंतवणूक प्रशिक्षण आणि संरक्षण फंड प्राधिकरण (अकाउंटिंग, तेखापरिक्षण, हस्तांतरण आणि रिफंड) नियम, 2016 ('नियम'), बदलल्यानुसार, सोवत वाचले असता, कंपनीच्या ('बँक') समभागासंदर्भात, सलग सात वर्षे लाभांशावर दावा झालेला नाही किंवा देय झालेला नाही ते बँकेकडून आयईपीएफ अथोरिटीच्या डीमेंट खात्यात हस्तांतरित केले जातील.

बँकेने संबंधित भागधारकांना त्यांनी नोंदवलेल्या ईमेल आयडीवर किंवा ताज्या पत्यावर माहिती पाठवली आहे की त्यांचे भाग आयईपीएफ अथोरिटीकडे हस्तांतरित होण्यासाठी पात्र आहेत, म्हणून त्यांनी दावा न केलेल्या लाभांशावर दावा करावा. बैंकेने आपल्या www.yesbank.in वेबसाइटवर आयईपीएफ अथोरिटीकडे ज्यांचे भाग हस्तांतरित होण्यासाठी पात्र आहेत अशा भागधारकांचा तपशील अपलोड केला आहे. भागधारकांना विनंती आहे की त्यांनी वेबसाइट पाहन दावा न केलेल्या लाभांशाची आणि आयईपीएफ अधोरिटीकडे हस्तांतरित होण्यासाठी पात्र असलेल्या भागांची खात्री करावी.

भौतिक स्वरूपात भागधारणा करणाऱ्या भागधारकांनी (एक/अनेक)आणि ज्यांचे भाग आयईपीएक अथोरिटीकडे इस्तांतरित होण्यासाठी पात्र असलेल्यां भागधारकांनी नोंद च्यांची की आवर्डपीएफ अथोरिटीकडे भाग हस्तांतरित झाल्यानंतर, त्यांच्या नावे नींद झालेले भाग प्रमाणपत्र (एक/अनेक) आयोआप रह होतील आणि वाटाघाटी करण्यासाठी उपलब्ध राहाणार नाहीत, जर भाग अभौतिक स्वरूपात धारण केले असतील तर हस्तांतरण करण्यासाठी भागधारकाच्या खाल्यातन त्यांची कपात केली जाईल, जर संबंधित भागधारकांनी 6 जुलै 2023 पर्यंत लाभांशाचा दावा केला नाही तर बँक असे भाग आयईपीएफ अथोरिटीकडे हस्तांतरित करून वरील नियम पाळेल, ज्यासाठी भागधारकाला कोणतीही सूचना पाठवली जाणार नाही आणि हस्तांतरित होणाऱ्या भागांसंबंधी बँकेकडे कोणतही दायित्व उरणार नाही.

भागधारकांनी हे लक्षात च्यावे की शेअर्स आयर्डपीएफ प्राधिकरणाकडे हस्तांतरित केल्यावर, अशा समभागांवर जमा होणाऱ्या सर्व फायदांसह, जर असेल तर, आयर्डपीएफ प्राधिकरणांकडे उपलब्ध कॉर्म प्राधिकरणांकडील की सह www.iepf.gov.in वेबसाइटवर आयईपीएफ-5 मध्ये ऑनलाइन अर्ज संबंभिट करून आयईपीएफ प्राधिकरणांकडूनच दावा केला जाऊ शकतो.

वरील प्रकरणात कोणतीही चौकशी करायची असेल तर भागधारक इथे संपर्क करू शकतात: केफिनटेक्नॉलॉजी लिमिटेड, बँकेचे रजिस्ट्रार ट्रांसफर एजंट ह्यांच्याकडे श्रीमती शोधा आनंद, डेग्युटी ताइस्र गिसेडंट गूनिट: गेस वॅक लिपिटेड, कारती सेलेनियम टॉनर बी, लॉट 31-32, गतीबावली, फायनान्शिअत डिस्ट्रिक्ट, नानाकपगुडा, हैदाबाद-500032. फोन:+91-40-6716 2222 ईमेल आयडी: einward.ris@kfintech.com.

स्थान: मुंबई

दिनांक: 4 एप्रिल 2023

येस बँक लिमिटेडसाठी खासरी/-शिवानंद शेडीगर कंपनी सचिव