

**Date: 24-Oct-2020**

**The BSE Limited**  
Phiroje Jeejeebhoy Towers  
Dalal Street Fort  
Mumbai - 400 001  
(Scrip Code - 532776)

**The National Stock Exchange Limited**  
"Exchange Plaza" Bandra Kurla Complex,  
Bandra East,  
Mumbai - 400 051  
(Scrip Code - SHIVAMAUTO)

**Sub: Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for half year ended 30-Sep-2020.**

Dear Sir,

This is in reference to the above mentioned subject; please find enclosed herewith the Certificate from Practicing Company Secretary as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for half year ended 30-Sep-2020.

Kindly take the above information on your records and oblige.

Thanking you.

For Shivam Autotech Limited



Samta Bajaj  
Company Secretary and Compliance Officer  
M. No. 50269



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR  
ENDED 30<sup>TH</sup> SEPTEMBER, 2020.**

Based on the examination of the relevant documents relating to fully paid equity shares of **Shivam Autotech Limited** (hereinafter referred to as 'the Company') maintained and provided electronically by MCS Share Transfer Agent Limited, Registrar and Share Transfer Agents (RTA), for the purpose of issuing certificate to the Company as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (hereinafter referred to as 'the Listing Regulations'), in respect of effecting transfer, sub-division, consolidation, renewal, exchange or endorsement etc. of shares received by the Company during the half year from 1<sup>st</sup> April 2020 to 30<sup>th</sup> September 2020, and based on our such examination as well as the information and explanations given to us by the Company, which to the best of our knowledge and belief were necessary for the purpose, **We hereby certify that:**

- A) As informed to us there were no requests relating to transfer/transmission of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies, transposition, deletion of name etc. received during the said period.
- B) Any other information, if applicable: Physical verification of the documents could not be done due to the restrictions on account of COVID-19 situation.

For **SATYENDER KUMAR & ASSOCIATES**  
Company Secretaries

Place : Gurugram  
Date : October 22, 2020



*Satyender Kumar*  
**SATYENDER KUMAR**  
Proprietor  
(C.P. NO. 5189)  
FCS 4087  
UDIN NO. F004087B001030189