



# GUJARAT THEMIS BIOSYN LIMITED

**CIN : L24230GJ1981PLC004878**

REGD. OFFICE & FACTORY : 69/C GIDC INDUSTRIAL ESTATE,  
VAPI – 396 195, DIST. VALSAD, GUJARAT, INDIA

TEL : 0260-2430027 / 2400639

E-mail: [hrm@gtbl.in.net](mailto:hrm@gtbl.in.net)

**GTBL: QRT/COMP 2022-23**

**25<sup>th</sup> April, 2023**

## **BSE Limited**

P.J. Towers,  
Dalal Street,  
Mumbai- 400 001

Dear Sir / Madam,

**Sub.: Compliance Certificate under Regulation 40(9) of the SEBI (LODR) Regulations, 2015**

Enclosed herewith please find Compliance Certificate issued by Mr. Ketan Shirwadkar Designated Partner of HSPN & Associates LLP Practicing Company Secretaries for the year ended 31<sup>st</sup> March, 2023 in terms of Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015.

This may be taken as compliance of Regulation 40(9) of the Listing Regulations.

Thanking you,

Yours faithfully,

For **Gujarat Themis Biosyn Limited**

**Rahul Soni**  
**Company Secretary & Compliance Officer**  
**Encl: As above**



**HSPN & ASSOCIATES LLP  
COMPANY SECRETARIES**

LLPIN: AAZ-8456 | Unique Code: L2021MHE011400  
(Formerly known as HS ASSOCIATES  
Unique Code: P2007MH004300)

**KETAN R. SHIRWADKAR (Designated Partner)  
B.COM. ACS.**

206, 2<sup>nd</sup> Floor, Tantia Jogani Industrial Estate,  
J. R. Boricha Marg, Opp. Lodha Excelus,  
Lower Parel East Mumbai - 400011.  
Tel: 022 23088998/23008998/40026600/40061100  
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Web: [www.hspnassociates.in](http://www.hspnassociates.in)

**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2023**

**GUJARAT THEMIS BIOSYN LIMITED**

We, have examined all the Share Transfer Deeds, Memorandum of Transfers, relevant registers, files and other documents relating to “**GUJARAT THEMIS BIOSYN LIMITED**” (the Company), maintained by **M/s LINK INTIME INDIA PRIVATE LIMITED** (Registrar and Share Transfer Agent), from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023, pertaining to transfer of equity shares of the Company for the said period for issuing a certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, and hereby certify that;

- a) During the year ended 31<sup>st</sup> March, 2023, the Company did not receive any request for transfer of physical shares hence it was not required to deliver any share certificate during the period from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023.
- b) The Company has delivered as on the year ended 31<sup>st</sup> March, 2023 share certificates relating to requests received for change/correction in name, transmission, deletion, consolidation, sub-division (split), duplicate issue of shares during the period from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 within 30 days from the respective date of lodgment of each request, except those which is as annexed in **Annexure-1** and those which were rejected on technical grounds.

Further, there were no request relating to renewal and exchange or endorsement of calls/allotment monies during the period from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023.

**PLACE: MUMBAI  
DATE: 24-04-2023  
PER REVIEW NO. 2507/2022  
ICSI UDIN: A037829E000174130**



**FOR HSPN & ASSOCIATES LLP  
COMPANY SECRETARIES**

**KETAN SHIRWADKAR  
DESIGNATED PARTNER  
ACS NO. 37829  
COP NO. 15386**

# HSPN & ASSOCIATES LLP

## ANNEXURE-1

Annexure to certificate dated 24<sup>th</sup> April, 2023 in respect of Gujarat Themis Biosyn Limited, cases in which Certificate of Listed Securities with respect to duplicate shares certificate have not been delivered to shareholders within 30 days of lodgment of request(s):

<b>Particulars</b>	<b>Reasons for Delay</b>
Total Number of Cases: 1	Delay in process by RTA
Total Number of Shares: 100	

**PLACE: MUMBAI**  
**DATE: 24-04-2023**  
**PER REVIEW NO. 2507/2022**  
**ICSI UDIN: A037829E000174130**



**FOR HSPN & ASSOCIATES LLP**  
**COMPANY SECRETARIES**



**KETAN SHIRWADKAR**  
**DESIGNATED PARTNER**  
**ACS NO. 37829**  
**COP NO. 15386**