

14.05.2020

BSE Limited  
Department of Corporate Relationship  
1<sup>st</sup> Floor, New Trade Ring, Rotunda Building,  
Phiroze jeejeebhoy Towers,  
Dalal Street, Mumbai - 400 001.  
Scrip Code : 524742

By BSE LISTING

National Stock Exchange of India Ltd.,  
Department of Corporate Services,  
Exchange Plaza, 5<sup>th</sup> Floor,  
C-1, Block G, Bandra Kurla Complex,  
Bandra (E), Mumbai - 400 051.  
Scrip Code: CAPLIPOINT

By NEAPS

Dear Sirs,

**SUB: SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED MARCH 31, 2020.**

Pursuant to Regulation 40(9) of SEBI(Listing Obligations and Disclosure Requirements) Regulations,2015,we herewith enclose the certificate issued by M/s. G Ramachandran and Associates, Practising Company Secretaries for the half year ended March 31,2020.

Kindly take the same on your records.

Thanking You,

Yours Faithfully,  
For Caplin Point Laboratories Limited

SD/-

Company Secretary  
Enclosure: As above



**G RAMACHANDRAN & ASSOCIATES**  
COMPANY SECRETARIES

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **CAPLIN POINT LABORATORIES LIMITED** maintained by M/s. **Integrated Registry Management Services Private Limited**, 2<sup>nd</sup> Floor, Kences Towers, No. 1, Ramakrishna Street, North Usman Road, T Nagar, Chennai 600017 pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** for the purpose of issuing a Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015 entered into by CAPLIN POINT LABORATORIES LIMITED with NSE and BSE and based on the information provided by the Company, we, hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March, 2020:

- a) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- b) Any other information, if applicable. Nil

For **G Ramachandran & Associates**  
Company Secretaries

**G. RAMACHANDRAN**  
Proprietor  
FCS No.9687 CoP No.3056



Place: Chennai  
Date: 14<sup>th</sup> May, 2020  
UDIN: F009687B000237409