

November 27, 2020

To,  
Dept. of Corporate Services (CRD)  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Scrip Code : 540192**

**Sub: Result of Postal Ballot by remote e-voting process**

Dear Sir/Madam,

With reference to the above, we hereby inform you as under:

- a) Pursuant to provisions of Section 110 of the Companies Act, 2013 ("the Act"), read with the Companies (Management and Administration) Rules, 2014 (as amended from time to time) and other applicable provisions of the Act, the consent of the Members of the Company was sought through Postal Ballot for the matter as mentioned in the Postal Ballot Notice dated October 16, 2020.
- b) Mr. V. Ramachandran (Membership No. A-7731 / CP No. 4731), Proprietor M/s. V. R. Associates, Practicing Company Secretary was appointed as the Scrutinizer for conducting the Postal Ballot process in a fair and transparent manner.
- c) The last date for casting votes electronically through e-voting from the Members was Thursday, November 26, 2020.
- d) The Scrutinizer has submitted his report for the Postal Ballot, result whereof is announced today, i.e. Friday, November 27, 2020.
- e) In terms of Regulation 44(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), please find enclosed herewith details of voting results and Scrutinizer's Report in his behalf for your records.

The voting results and the Scrutinizer's Report are being uploaded on the Company's website [www.lkpsec.com](http://www.lkpsec.com) and on the website of Central Depository Services (India) Limited at [www.evotingindia.com](http://www.evotingindia.com).

Kindly also consider this as disclosure in terms of the provisions of Regulation 30 and all other applicable provision of the Listing Regulations.

Thanking you,

Yours faithfully,  
For **LKP Securities Limited**

**Akshata Vengurlekar**  
**Company Secretary**  
**Contact: 8424916961**

Encl: as above

**LKP Securities Ltd.**

Regd Off: 203 Embassy Centre, Nariman Point, Mumbai – 400021, Phone: 022 – 2282 8234, Fax 022 – 2284 2415  
Head Off: 1303 – 04, 13th Floor, Raheja Centre, Free Press Journal Marg, Nariman Point, Mumbai – 400021  
Tel.: +91 22 6635 1234 . Fax: +91 22 6635 1249 . Website: [www.lkpsec.com](http://www.lkpsec.com),  
Single SEBI registration number for NSE/BSE/MSEI: INZ000216033 ARN 31751 DPIN-CDSL-206-2003  
CIN L67120MH1994PLC080039 and Maharashtra GSTN No. 27AAACL0963A1ZZ

**Details of the voting result by postal ballot process pursuant to Regulation 44(3) of Listing Regulations**

Date of the Notice of Postal Ballot	October 16, 2020.
Total number of Shareholders on record date	As on the cut off. Date i.e. October 16, 2020 the total number of Shareholders were 6530
Ordinary Resolution	Approval of Material Related Party Transaction(s) with Alpha Commodity Private Limited
Special Resolution	Approval for variation/modification/amendment of the terms of LKP Securities Limited Employee Stock Options Scheme 2017
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	Not Applicable
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	Not Applicable
Mode of voting:	Remote E-voting

**Results of Postal Ballot**

<b>Sr. No.</b>	<b>Agenda</b>	<b>Resolution Required</b>	<b>Remarks</b>
1.	Approval of Material Related Party Transaction(s) with Alpha Commodity Private Limited	Ordinary Resolution	Passed with requisite majority
2.	Approval for variation/modification/amendment of the terms of LKP Securities Limited Employee Stock Options Scheme 2017	Special Resolution	Passed with requisite majority

**Details of Voting Result in terms of Regulation 44 of the Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Mode of Voting: Remote E-voting**

1. Resolution Required : (Ordinary)		Approval of Material Related Party Transaction(s) with Alpha Commodity Private Limited							
Whether promoter/ promoter group are interested in the agenda/resolution?		No							
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled	
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100	
Promoter and Promoter Group	E-Voting	48896356	0	0.0000	0	0	0.0000	0.0000	
	Poll		Not Applicable						
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000	
	<b>Total</b>		0	0.0000	0	0	0.0000	0.0000	
Public Institutions	E-Voting	3669698	0	0.0000	0	0	0.0000	0.0000	
	Poll		Not Applicable						
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000	
	<b>Total</b>		0	0.0000	0	0	0.0000	0.0000	
Public Non Institutions	E-Voting	21368682	1069283	5.0040	1068773	510	99.9523	0.0477	
	Poll		Not Applicable						
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000	
	<b>Total</b>		1069283	5.0040	1068773	510	99.9523	0.0477	
<b>Total</b>		<b>73934736</b>	<b>1069283</b>	<b>1.4463</b>	<b>1068773</b>	<b>510</b>	<b>99.9523</b>	<b>0.0477</b>	

2. Resolution Required : (Special)			Approval for variation/modification/amendment of the terms of LKP Securities Limited Employee Stock Options Scheme 2017.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	$[3]=\{[2]/[1]\} * 100$	[4]	[5]	$[6]=\{[4]/[2]\} * 100$	$[7]=\{[5]/[2]\} * 100$
Promoter and Promoter Group	E-Voting	48896356	48896356	100.0000	48896356	0	100.0000	0.0000
	Poll		Not Applicable					
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		48896356	100.0000	48896356	0	100.0000	0.0000
Public Institutions	E-Voting	3669698	0	0.0000	0	0	0.0000	0.0000
	Poll		Not Applicable					
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		0	0.0000	0	0	0.0000	0.0000
Public Non Institutions	E-Voting	21368682	1109159	5.1906	1108649	510	99.9540	0.0460
	Poll		Not Applicable					
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		1109159	5.1906	1108649	510	99.9540	0.0460
<b>Total</b>		<b>73934736</b>	<b>50005515</b>	<b>67.6347</b>	<b>50005005</b>	<b>510</b>	<b>99.9990</b>	<b>0.0010</b>

# V.R. ASSOCIATES

*Company Secretaries*

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Resi: G-5/3 Jal Padma, Bangur Nagar, Goregaon West, Mumbai 400 104  
Admn office: 31 Topiwala Center, Goregaon West, Mumbai 400 062  
Tel: 022-28774306; Mobile 98214 47548; e-mail: [cs.ram25@gmail.com](mailto:cs.ram25@gmail.com)  
GST No. 27ACSPV8251A1Z7 ; PAN: ACSPV8251A; MSME Regn no. MH18D0041106

27<sup>th</sup> November, 2020

**Scrutinizer's Report on Postal Ballot process conducted through remote e-voting pursuant to provisions of section 110, 108 of Companies Act, 2013 read with Rule 20, 22 of Companies (Management and Administration) Rules, 2014 as amended by Companies (Management and Administration) Amendment Rules, 2015 of LKP Securities Limited**

To,  
The Chairman  
LKP Securities Limited

Dear Sirs,

I, V. Ramachandran, proprietor of M/s V.R. Associates, Company Secretaries (Membership no. ACS 7731, CP no. 4731) have been appointed as Scrutinizer by LKP Securities Limited ("the Company") as per Board resolution dated October 16, 2020 and Company's letter dated October 17, 2020 for the purpose of scrutinizing the Postal Ballot process which was conducted only through remote voting by electronic means ('remote e-voting') in a fair and transparent manner pursuant to Section 110, 108 of the Companies Act, 2013 ('the Act') read with Rule 20, 22 of the Companies (Management and Administration) Rules, 2014, (as amended) ('the Rules') and Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations') in compliance with the framework issued by Ministry of Corporate Affairs through its General Circular Nos. 14/2020 dated April 8, 2020, 17/2020 dated April 13, 2020 and 22/2020 dated June 15, 2020, ('MCA Circulars') on the resolutions contained in the Postal Ballot Notice dated October 16, 2020 ('Notice') and also for ascertaining the requisite majority for the resolutions proposed therein.

The Management of the Company is responsible to ensure the compliance with the requirements of the Act and the Rules including MCA Circulars and Listing Regulations relating to remote e-voting for the resolutions contained in the Postal Ballot Notice dated October 16, 2020. Our responsibility as a Scrutinizer is to ensure that the remote e-voting is carried out in a fair and transparent manner and to make a scrutinizer's report on the votes cast "IN FAVOUR" or "AGAINST" on the resolutions contained in the Postal Ballot Notice dated October 16, 2020 based on the reports generated from the e-voting system provided by the Central Depository Services (India) Limited (CDSL). The Company has engaged the services of CDSL for remote e-voting.

Members holding shares as on Friday, October 16, 2020, "cut-off date", were entitled to vote on the resolutions stated in the Notice.

# V.R. ASSOCIATES

*Company Secretaries*

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The remote e-voting period was open from Wednesday, October 28, 2020, 9.00 AM IST and was closed on Thursday, November 26, 2020 at 5.00 PM IST.

Accordingly, the electronic votes casted were taken into account and at the end of the voting period, on Thursday, November 26, 2020, the CDSL portal was blocked for voting.

The votes casted by remote e-voting were unblocked on Thursday, November 26, 2020 in the presence of two witnesses who were not employees of the Company and the e-voting results / list of members who have voted for and against were downloaded from the e-voting website of CDSL.

The register has been maintained electronically to record the assent or dissent, received, mentioning the particulars of name, address, folio number or client ID of the shareholders, number of shares held by them.

The details containing, inter alia, list of equity shareholders, who voted “For” or “Against” each of the resolutions put to vote, were generated from the e-voting website of CSDL and based on such reports generated, the result of the e-voting is as under:

## **Item No. 1 of the Postal Ballot Notice dated October 16, 2020:**

### **ORDINARY RESOLUTION**

#### **Approval of Material Related Party Transaction(s) with Alpha Commodity Private Limited;**

Particulars	Mode: Remote e-voting		
	No. of voters	No. of shares	Percentage on total valid votes
Total vote received	78	10,69,283	N.A
Less: Invalid votes	NIL	NIL	N.A
Net valid votes	78	10,69,283	100.0000
Voting with Assent	76	10,68,773	99.9523
Voting with Dissent	2	510	0.0477

# V.R. ASSOCIATES

*Company Secretaries*

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**Item No. 2 of the Postal Ballot Notice dated October 16, 2020:**

## **SPECIAL RESOLUTION**

**Approval for variation/modification/amendment of the terms of LKP Securities Limited Employee Stock Options Scheme 2017;**

Particulars	Mode - Remote e-voting		
	No. of voters	No. of shares	Percentage on total valid votes
Total vote received	88	5,00,05,515	N.A
Less: Invalid votes	NIL	NIL	N.A
Net valid votes	88	5,00,05,515	100.0000
Voting with Assent	86	5,00,05,005	99.9990
Voting with Dissent	2	510	0.0010

Based on above results, we report that, all the resolutions as per the Notice stands passed with requisite majority.

The electronic data and all other relevant records relating to the remote e-voting will be handed over to the Company Secretary of the Company for safe preservation.

Thank you.

Yours faithfully,  
For **V.R. Associates**  
**Company Secretaries**

**V. Ramachandran**  
**Proprietor**  
**ACS 7731/ CP 4731**  
**UDIN: A007731B001325168**