

April 16, 2024

To, The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai - 400001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 540776	To, The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai - 400051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: 5PAISA
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Dear Sir/Madam,

Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the year ended March 31, 2024:

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the year ended March 31, 2024.

Kindly take the same on record and acknowledge the receipt of the same.

Thanking you,

Yours faithfully,

For 5paisa Capital Limited

Namita Godbole
Company Secretary & Compliance Officer
ICSI Membership No.: A21056
Email: csteam@5paisa.com

5paisa Capital Limited

NILESH SHAH & ASSOCIATES

Company Secretaries

UDIN: FO08063F000131835

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31.03.2024

I have examined all ~~Share Transfer Deeds~~, Memorandum of Transfers Registers, files and other documents relating to **5PAISA CAPITAL LIMITED** maintained by M/s Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 1st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to 5paisa Capital Limited and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transmission, Change of Name, Name Deletion, Sub-division, Duplication, Consolidation, Replacement / Exchange of Certificate, Endorsement for calls/allotment Money during year ended on 31st March, 2024.

**For Nilesh Shah & Associates
Company Secretaries**

Place: Mumbai

Date: 16.04.2024

Peer Review : 698/2020



(Hetal Shah)

Partner

C. P. No.: 8964

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Andheri (East), Mumbai- 400 069. Tel.: 2820 7824/ 2820 3582 E-mail : nilesh@ngshah.com

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