

**Ref. No.: AUSFB/SEC/2024-25/81**

**Date: May 1, 2024**

To,

<b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. <b>NSE Symbol: AUBANK</b>	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. <b>Scrip Code: 540611</b>
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Dear Sir/Madam,

**Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, this is to inform that the Bank has received order from the Deputy Commissioner of State Tax, Indore, Madhya Pradesh under section 73 of the Madhya Pradesh Goods and Services Tax Act, 2017 on April 30, 2024 informing that penalty of Rs. 0.18 lakhs has been imposed on account of Input Tax Credit claimed during FY 2018-19 of invoices raised by suppliers whose GSTIN got cancelled/GSTR-3B defaulter.

The Bank will explore filing of appeal/rectification request against the order.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given in the enclosed **Annexure - I**.

This is for your information and records.

Thanking You,

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**

**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No.: F9999**  
[investorrelations@aubank.in](mailto:investorrelations@aubank.in)

**Registered Office**

AU SMALL FINANCE BANK LIMITED  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur- 302001, Rajasthan, India  
Phone: +91 141 4110060/61, Fax: +91 141 4110090  
CIN: L36911RJ1996PLC011381

**Annexure – I**

**Details required under Regulation 30 of the Listing Regulations read with  
SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023**

<b>Sr. No.</b>	<b>Details of events that need to be provided</b>	<b>Information of such event(s)</b>
1.	Name of the authority	Deputy Commissioner of State Tax, Indore-12, Division-3, Zone-1, Indore, Madhya Pradesh
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Disallowance of input tax credit - Order passed under section 73 of the Madhya Pradesh Goods and Services Tax Act, 2017
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	April 30, 2024
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed;	Input Tax Credit claimed during FY 2018-19 of invoices raised by suppliers whose GSTIN got cancelled/GSTR-3B defaulter.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs 3.60 lakhs (Include tax amount, interest and penalty)

**Registered Office**

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