



# POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Regd. Off : 303/4/5, A to Z Industrial Premises, G. K. Marg, Lower Parel (W),  
Mumbai - 400013 (India)

CIN NO. L72200MH1984PLC094539

December 29, 2020

**BSE Limited**

1<sup>st</sup> Floor, New Trading Wing,  
Rotunda Building,  
P. J. Towers,  
Dalal Street, Fort,  
Mumbai - 400001  
**Scrip Code:** 540717

**Metropolitan Stock Exchange of India Ltd**

Vibgyor Towers, 4<sup>th</sup> Floor,  
Plot No.C-62, G- Block,  
Bandra-Kurla Complex,  
Bandra (East),  
Mumbai - 400098  
**Symbol:** PQIF

**Sub:** Disclosure of inter-se transfer of shares between Promoter / Promoter group in accordance with Regulation 10(6) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 [SEBI (SAST) Regulations, 2011).

Dear Sir,

Pursuant to the Regulation 30 read with Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with Regulation 3 of SEBI (Prohibition of Insider Trading) Regulation 2015, we would like to inform your that the Company has received as intimation regarding inter-se transfer of shares amongst themselves through an off market transaction.

The details of the same areas under:

Name of the shareholder	Before the transaction		After the transaction	
Mr. Divesh Sanghai (Transferor)	49,30,700	7.34 %	-	-
Mr. Viraj Sanghai (Transferor)	49,15,150	7.32 %	-	-
Ms. Aneetha Sanghai Transferee)	27,31,177	4.07 %	1,25,77,027	18.73 %

This being an Inter se transfer of shares amongst Promoter Group, the same falls within the exemption { under Regulation 10 ( 1 ) ( a ) ( i ) and 10 ( 1 ) ( a ) ( ii ) provided under SEBI (SAST) Regulation, 2011 }. This is in nature of transfer of shares through an off Market transaction amongst Promoters.

The Aggregate holding of the Promoter and Promoter Group before and after the above inter se transaction remains the same.

In this connection necessary disclosure under Regulation 10 (6) for the above said acquisition in prescribed format, as submitted by the Acquirer is enclosed herewith for your kind information and records.

Thanking you,

Yours truly,

**For Polo Queen Industrial and Fintech Limited**

*Vandana*  
**Vandana Yadav**  
**Company Secretary**  
**Encl.: As Above**



**Tel: (Off) 24935421 (4 Lines) 66615901 Fax: (91-22) 24935420 / 66615900**  
**E-mail: info@poloqueen.com**

**Aneetha Sanghai**

211, Neelamber, 37 A,

Peddar Road,

Mumbai 400 026

December 29, 2020

**BSE Limited**  
1<sup>st</sup> Floor, New Trading Wing,  
Rotunda Building,  
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**Metropolitan Stock Exchange of India Polo Queen Industrial and Fintech Ltd**  
Vibgyor Towers, 4<sup>th</sup> Floor,  
Plot No.C-62, G- Block,  
Bandra-Kurla Complex,  
Bandra (East),  
Mumbai – 400098  
**Symbol: PQIF**

**Sub:** Disclosure of Inter-se Transfer of Shares between the Promoters/Promoters Group in Accordance with Regulation 10(6) of SEBI (Substantial Acquisition of Shares & Takeovers) Regulation, 2011.

Dear Sir/Madam,

In terms of disclosure under Regulation 10 (6) SEBI (Substantial Acquisition of Share and Takeover) Regulations, 2011, I, Aneetha Sanghai, Promoter of Polo Queen Industrial and Fintech Ltd. ("The Company") resident at 211, Neelamber, 37 A, Peddar Road, Mumbai 400 026, hereby submit the requisite disclosure with regard to acquisition of 98,45,850 Equity Shares of the Company from Mr. Divesh Sanghai and Mr. Viraj Sanghai (Transferors), who is my immediate relatives and also part of the Promoter Group of the Company on December 28, 2020 by way of inter-se transfer, pursuant to gift, through an off-market transaction as follow:

Sr. No	Date of transaction on or after	Name of the Person (belongs to promoter group) - Transferor	Name of the Transferee/ Acquirer	No. of shares proposed to be acquired by way of Gift	% of Holding
1.	28.12.2020	Mr. Divesh Sanghai	Aneetha Sanghai	49,30,700	7.34 %
2.	28.12.2020	Mr. Viraj Sanghai	Aneetha Sanghai	49,15,150	7.32 %

The above stated disclosure duly signed by me is annexed herewith.

This is for your information and record.

Yours truly

**Aneetha Sanghai**  
**Acquirer and Promoter/Promoter Group**  
**Encl.: As Above**

**Disclosure under Regulation 10(6) - Report to Stock Exchanges in respect of acquisition made in reliance upon exemption provided for in Regulation 10 of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

No.	PARTICULARS	DETAILS	
1	Name of the Target Company (TC)	<b>Polo Queen Industrial and Fintech Ltd.</b> 304, A to Z Industrial Estate, G. K. Marg, Lower Parel. Mumbai - 400013	
2	Name of the acquirer	Aneetha Prabhas Sanghai	
3	Name of the Stock Exchange where the shares of TC are listed	BSE Limited Metropolitan Stock Exchange of India Ltd	
4	Details of the transaction including rationale, if any, for the transfer/ acquisition of shares.	Inter-se transfer of 49,30,700 and 49,15,150 equity shares from Mr. Devesh Sanghai and Mr. Viraj Sanghai respectively to Ms. Aneetha Sanghai Promoter of the Company by way of inter-se transfer, pursuant to gift, through an off-market transaction	
5	Relevant regulation under which acquirer is exempted from making an open offer	Regulation 10(1)(a) (i) (ii) of SEBI (SAST) Regulations, 2011	
6	Whether disclosure of proposed acquisition was required to be made under regulation 10(5) and if so, - Whether disclosure was made and whether it was made within the timeline specified under the regulations. - date of filing with the stock exchange.	Yes  Yes  23.12.2020	
7	Details of acquisition	Disclosures required to be made under regulation 10(5)	Whether the disclosures under Regulation 10(5) are actually made
	a	Name of the transferor / seller	Mr. Divesh Sanghai Mr. Viraj Sanghai
	b	Date of acquisition	28.12.2020
	c	Number of shares/ voting rights in respect of the acquisitions from each person mentioned in 7(a) above	1. 49,30,700 Equity Shares 2. 49,15,150 Equity Shares Total 98,45,850 Equity Shares
	d	Total shares actually acquired as a % of diluted share capital of TC	1. 7.34 % 2. 7.32 % Total 14.66 %

*Aneetha Sanghai*

**Aneetha Sanghai**

211, Neelamber, 37 A,

Peddar Road,

Mumbai 400 026

	e	Price at which shares are proposed to be acquired / actually acquired	NIL			
8		Shareholding details	Pre-transaction		Post-transaction	
			No. of shares held	% w.r.t total share capital of TC	No. of shares held	% w.r.t total share capital of TC
	a	Acquirer/ Transferee Ms.Aneetha Sanghai	27,31,177	4.07 %	1,25,77,027	18.73 %
	b	Seller (s) gifts by				
		Mr. Divesh Sanghai	49,30,700	7.34 %	-	-
		Mr. Viraj Sanghai	49,15,150	7.32 %	-	-

*Aneetha Sanghai*

**Aneetha Prabhas Sanghai**  
**Acquirer and Promoter/Promoter Group**

**Date: 29.12.2020**

**Place: Mumbai**