

October 13, 2020

To,
Dept. of Corporate Services (CRD)
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Scrip Code: 540192

Dear Sir / Madam,

Sub: Certificate pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate dated October 09, 2020, obtained from Practicing Company Secretary for the half year ended September 30, 2020.

Kindly take the same on record and acknowledge the receipt.

Thanking you,

Yours faithfully,

For **LKP Securities Limited**

AKSHATA
MADHUKAR
VENGURLEKAR

Akshata Vengurlekar
Company Secretary
Contact: 8424916961

Encl.: As above

LKP Securities Ltd.

Regd Off: 203 Embassy Centre, Nariman Point, Mumbai – 400021, Phone: 022 – 2282 8234, Fax 022 – 2284 2415

Head Off: 1303 – 04, 13th Floor, Raheja Centre, Free Press Journal Marg, Nariman Point, Mumbai – 400021

Tel.: +91 22 6635 1234 . Fax: +91 22 6635 1249 . Website: www.lkpsc.com,

Single SEBI registration number for NSE/BSE/MSEI: INZ000216033 ARN 31751 DPIN-CDSL-206-2003

CIN L67120MH1994PLC080039 and Maharashtra GSTN No. 27AAACL0963A1ZZ

V.R. ASSOCIATES

Company Secretaries

Resi: G-5/3 Jal Padma, Bangur Nagar, Goregaon West, Mumbai 400 104
Admn office: 31 Topiwala Center, Goregaon West, Mumbai 400 062
Tel: 022-28774306; Mobile 98214 47548; e-mail: cs.ram25@gmail.com
GST No. 27ACSPV8251A1Z7 ; PAN: ACSPV8251A; MSME Regn no. MH18D0041106

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

We have examined the relevant books, registers, forms, documents and records of LKP Securities Limited (the company), maintained and produced before us by Adroit Corporate Services Pvt. Ltd., their Registrar & Transfer Agents, in respect of share transfers lodged for registration during the half year ended 30th September, 2020 for the purpose of issuing the certificate under regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

Based on our examination as well as information and explanation furnished to us, which to the best of our knowledge and belief were necessary for the purposes of certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended on 30th September, 2020, delivered all certificates duly endorsed within the period stipulated under the Listing Regulations. During the period under review, there were no share transfer requests during the period under review.

For *V.R. Associates*
Company Secretaries

V.Ramachandran
ran

Digitally signed by V.Ramachandran
DN: cn=, o=Personal
2.5.4.20=1ed41ca203138438a25784027c1048d201670d
f76f34232475d5a1f1ba2c2.ppt@code=800104
=Maharashtra
serialNumber=92aa56e15756a0a00638b7a78bc74af
e320587018f66605074370446, cn=V.Ramachandran
Date: 2020.10.09 14:44:23 +05'30'

V. Ramachandran
C.P. No. 4731

Place: Mumbai

Date: 09/10/2020

UDIN: A007731B000895013

**In view of the covid pandemic and office lockdown situation, all documents were examined digitally*