



## SMS Lifesciences India Limited

### Registered & Corporate Office :

Plot No. 19-III, Road No. 71,  
Opp. Bharatiya Vidya Bhavan Public School,  
Jubilee Hills, Hyderabad - 500 096, Telangana, INDIA.  
Tel : +91-040-6628 8888, Fax : +91-40-2355 1401  
CIN : L74930TG2006PLC050223  
Email : info@smslife.in, Website : www.smslife.in

To

**BSE Limited,**

Listing Department, P J Towers,  
Dalal Street,  
Mumbai - 400001.

**Scrip code: 540679**

**National Stock Exchange of India limited,**

Listing Department, "Exchange Plaza",  
Bandra-Kurla Complex, Bandra (E) ,  
Mumbai - 400 051.

**Trading Symbol: SMSLIFE**

Dear Sir/Madam,

**SUB: ANNUAL SECRETARIAL COMPLIANCE REPORT.**

**Ref: Regulation 24A of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 read with SEBI Circular no. CIR/CFD/CMD1/27/ 2019 dated February 8, 2019.**

With reference to the above cited subject, we hereby submit the Annual Secretarial Compliance Report issued by CSB Associates, Practicing Company Secretary for the financial year 2019-20. Kindly note that this intimation is filed within the extended timeline as prescribed by SEBI vide circular no. SEBI/HO/CFD/CMD1/CIR/P/2020/109 dated June 25, 2020.

Kindly take the same on record and suitably disseminated to all concerned.

Thanking You,

**For SMS Lifesciences India Limited**

**Trupti Ranjan Mohanty**  
Company Secretary



Date: July 25, 2020

Place: Hyderabad

[Enclosed: Secretarial Compliance Report issued by CSB Associates]



# CSB Associates

Company Secretaries

3-6-481, Above Vijaya Bank,  
Street No.6, Himayatnagar,  
Hyderabad - 500 029.  
Mobile : 99855 23338  
85001 18567  
E-mail : csbassociates27@yahoo.com

## SECRETARIAL COMPLIANCE REPORT OF SMS LIFESCIENCES INDIA LIMITED FOR THE YEAR ENDED MARCH 31, 2020

To  
SMS Lifesciences India Limited,  
Plot No. 19-III, Road No. 71,  
Opp. Bharatiya Vidya Bhavan Public School,  
Jubilee Hills, Hyderabad - 500096.

We, CSB Associates, Company Secretaries, have examined:

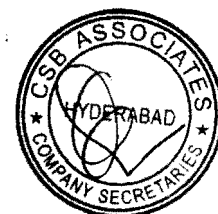
- a) all the documents and records made available to us and explanation provided by SMS Lifesciences India Limited ("the Listed Entity"),
- b) the filings/ submissions made by the listed entity to the Stock Exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2020 ("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (there were no events requiring compliance during the Review Period)
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (there were no events requiring compliance during the Review Period)
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (there were no events requiring compliance during the Review Period)

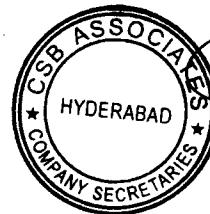


- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (there were no events requiring compliance during the Review Period)
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (there were no events requiring compliance during the Review Period)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;

and circulars/ guidelines issued thereunder;

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- c) There were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- d) The reporting of actions by the listed entity to comply with the observations made in previous reports is not applicable during the Review Period.

**Place: Hyderabad**  
**Date: 24<sup>th</sup> July, 2020**



**For CSB Associates,  
Company Secretaries,**

*[Handwritten Signature]*  
**C. SudhirBabu,  
Proprietor,**

**FCS: 2724, CP: 7666.**

**UDIN: F002724B000500947**