

FEDERAL BANK

YOUR PERFECT BANKING PARTNER

Secretarial Department

SE/15/2020-21

April 30, 2020

The Manager The National Stock Exchange of India Limited Exchange Plaza, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.	The Manager Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Floor 25, Dalal Street, Mumbai- 400 001
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Ref.: Scrip Symbol: FEDERALBNK/Scrip Code: 500469

Dear Madam/ Sir,

Sub: - Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please find enclosed herewith a Certificate issued by CS Sivakumar P, Managing Partner, M/s. SEP & Associates, Kochi, for the period from October 01, 2019 to March 31, 2020 under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your kind information and records.

Thanking you,
Yours faithfully,
For The Federal Bank Limited

SAMIR
PRAVINCHANDRA
RAJDEV
Samir P Rajdev
Company Secretary

Digitally signed by SAMIR
PRAVINCHANDRA RAJDEV
Date: 2020.04.30 16:09:04
+05'30'



The Federal Bank Ltd. Registered Office: Federal Towers, P O Box No.103, Aluva, Ernakulam, Kerala, India
683 101E-mail: secretarial@federalbank.co.in | Phone: 0484-2622263,
CIN: L65191KL1931PLC000368, www.federalbank.co.in

Partners:

CS Sivakumar P., M.Com, FCMA, FCS
CS Madhusudhanan E.P., M.com, FCMA, FCS
CS Anju Panicker, BA, LLB, ACS

Reg. Office: 43/2695-A, Kariparambil Lane
SRM Road, Kaloor, Ernakulam 682018
+91 9947262162, +91 8089359964
www.sepassociates.in/info@sepmail.co.in

Ref: SEP/SEBI/20-21/07

UDIN: F003050B000189896

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31ST MARCH, 2020**

I have examined the relevant records, registers, files and all other documents of M/S. **THE FEDERAL BANK LTD**, having its registered office at Federal Towers, P B No. 103, Alwaye, Ernakulam, Kerala- 683101 maintained by **INTEGRATED REGISTRY MANAGEMENT SERVICES PRIVATE LIMITED**, II Floor, "Kences Towers", No.1 Ramakrishna Street, North Usman Road, T Nagar, Chennai PIN- 600017, the Registrar and Share Transfer Agent (RTA) of the Company for the period from **1st October, 2019** to **31st March, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by, **THE FEDERAL BANK LTD** and based on the information provided by the Company, hereby certify that:

- A) As the transfer of shares held in physical form has been barred by SEBI, the Board of Directors/Share Transfer Committee of the company have not processed any share transfer request and consequently there arose no necessity for entry in the Memorandum of transfers and issue of Share Certificate(s) thereof during the said period.
- B) Share Certificates in respect of share transmission/issue of Duplicate/Split/consolidation/ Name Change have been issued within the prescribed period from the date of lodgment thereof during the said period.
- C) No request for sub-division, renewal, exchange, endorsement of calls/ allotment monies or issue of split share certificate has been received by the company during the said period.
- D) Any other information, if applicable: **NIL**

Date: 30.04.2020

Place: Kochi

PUZHANKAR Digitally signed by
PUZHANKARA SIVAKUMAR
A SIVAKUMAR Date: 2020.04.30 13:24:58
+05'30'

CS Sivakumar P
Managing Partner
SEP & Associates
Membership No. 3050
CP No. 2210