

Ref. No.: AUSFB/SEC/2023-24/263

Date: November 25, 2023

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Approval of Reserve Bank of India for appointment of Mr. Harun Rasid Khan as Part Time Chairman of the Bank

Ref: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

We wish to inform you that the Reserve Bank of India ("RBI") vide its letter dated November 24, 2023 has approved the appointment of Mr. Harun Rasid Khan ("Mr. H. R. Khan") (DIN: 07456806) as Part Time Chairman of the Bank in terms of Section 10B (1A)(i) of the Banking Regulation Act, 1949 w.e.f. January 30, 2024 till completion of his 1st tenure as Independent Director of the Bank i.e. December 27, 2024.

Mr. H. R. Khan is associated with the Bank as an Independent Director since December 28, 2021 and he will assume the position of Part Time Chairman upon completion of tenure of current Part Time Chairman viz. Mr. Raj Vikash Verma on January 29, 2024.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given in the enclosed **Annexure - I**. It is further confirmed that Mr. H. R. Khan is not debarred from holding the office of Director by virtue of any SEBI order or any other such authority.

In this regard, a meeting of the Board of Directors will be convened in due course inter-alia to recommend to the shareholders for appointment of Mr. H. R. Khan as the Part Time Chairman of the Bank.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381

Details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Appointment as Part-Time Chairman
2.	Date of Appointment/cessation (as applicable) & Terms of Appointment	From January 30, 2024 to December 27, 2024 i.e. till completion of his first tenure as Independent Director of the Bank at Fixed Remuneration of Rs. 24 Lakhs p.a. in addition to sitting fees and reimbursement of expenses related to attending the Board and Committee meetings of the Bank.
3.	Brief Profile (in case of Appointment)	Please refer profile given below
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. H. R. Khan is not related to any Director of the Bank

Brief Profile covering qualification & experience of Mr. H. R. Khan

Mr. H. R. Khan, a career central banker, has a vast experience of over four decades in the areas of Banking & Finance, Information Technology, Payment and Settlement systems, Economics, Financial Markets, Human Resources, Risk Management and Financial Inclusion and has handled leadership positions as the Deputy Governor and the Executive Director at the Reserve Bank of India.

He has expertise in the field of the finance of over 38 years of experience with the RBI and had handled diversified areas and spearheaded major projects relating to financial markets, foreign exchange management, external investments, banking regulation and supervision, IT transformation, payment and settlement systems, training and HR initiatives, and financial inclusion.

Mr. H. R. Khan holds the Master of Arts from Utkal University, Bhubaneswar, Master of Philosophy from Jawaharlal Nehru University, New Delhi, Diploma in Business Management from Narsee Monjee Institute of Management Studies, Mumbai and he is a Certified Associate of the Indian Institute of Banking and Finance.

He had chaired the Committee on the Rural Credit and Micro finance which focused on expansion of banking network through the Information and Communications Technology enabled business correspondents, the Inter-Regulatory Corporate Debt Working Group which made several important recommendations for development of the Corporate Debt Market in India and the Working Group on the Regulations for the Foreign Portfolio Investors (FPIs) set up for simplification, liberalisation and rationalisation of the portfolio flow regulations set up by the SEBI. He was also the member of the Committees on Digital Payments set up by the Government of India and the RBI He had also chaired the Corporate Bond and Securitisation Committee (CoBoSAC) of the SEBI. Currently, he is a member of the Standing Committee on FPIs of the SEBI.

He was also the director on the Board of Bandhan Bank Limited, Dena Bank, Bank of Maharashtra, Punjab & Sind Bank, Bank of Rajasthan, NABARD, National Housing Board, PTC India Financial Services Limited, Reserve Bank Information Technology Private Limited and the Orissa State Finance Corporation.

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