



SWAN ENERGY LIMITED

(Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J.N. Heredia Marg, Ballard Estate, Mumbai – 400001.

Tel: 022 4058 7300, Email: invgrv@swan.co.in

CIN: L17100MH1909PLC000294

Swan/nse/bse

18th April, 2023

Dept. of Corporate Compliances,
National Stock Exchange Limited,
Exchange Plaza, Plot No. C/1, G Block,
Bandra –Kurla Complex, Bandra-East,
Mumbai – 400 051

Listing Department,
Bombay Stock Exchange Limited,
P.J. Tower, Dalal Street, Fort,
Mumbai – 400 001

Dear Sir,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the year ended 31st March, 2023

We are hereby submitting Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 received by the Company from Jignesh M. Pandya & Co. for the year ended 31st March, 2023.

Thanking you,

Yours faithfully,

For Swan Energy Limited

ARUN
SATYANARA
N AGARWAL

Digitally signed by ARUN
SATYANARUN AGARWAL
DN: c=IN, o=Personal,
postalCode=400064, st=Maharashtra,
serialNumber=C933DAFCD888E82B8D
2F42A1EE8A650F003D432DD8417146
E=DFRAAD5AAT85483, cn=ARUN
SATYANARUN AGARWAL
Date: 2023.04.18 16:47:50 +05'30'

**(Arun Agarwal)
Company Secretary**

JIGNESH M. PANDYA & CO.

Company Secretaries

205, Shashi CHS. Ltd., Behind Raghunath Tower, Devidas Road, Borivali (W) Mumbai 400 103.
Tel. : +91 22 28084509 • Mob.: +91 9819065068 • E-mail: jigneshpandyacs@gmail.com

The Board of Directors
Swan Energy Limited.
6, Feltham House, 2nd Floor,
10, J.N. Herdia Marg, Ballard Estate,
Mumbai 400 001

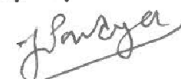
Dear Sir,

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

I have examined all relevant forms, books, register, documents and papers made available to me by **PurvaSharegistry (India) Pvt Ltd**, Registrars and Transfer Agents of **M/s. Swan Energy Limited**, for the purpose of issuing certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, and on the basis of the explanation given to us, I, hereby certify that the Company has delivered during the financial year ended on 31st March, 2023.

A) Share Certificates relating to the Share Transfer received during the period from **1st April, 2022 to 31st March, 2023** as entered in the Memorandum of Transfers, transmission/sub-division, deletion, duplicate, split, replacement and renewals have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds.

For **JIGNESH M PANDYA & CO.**,
Company Secretaries



Proprietor

ACS - 7346, CP - 7318

Peer Review Certificate no. 2727/2022

UDIN: A007346E000131074

Date: 18th April, 2023

