



**21<sup>st</sup> June, 2022**

<b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street Mumbai: 400 001 <b>Scrip Code: 500440</b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza, 5th Floor Plot No. C/1, G Block Bandra Kurla Complex Bandra (East) Mumbai – 400 051 <b>Scrip Code: HINDALCO</b>
<b>Mr. Daniel Schammo</b> Banque Internationale A Luxembourg Societe Anonyme 69, Route d'Esch L-2953 Luxembourg Fax No. 00 352 4590 2010 Tel. No. 00 352 4590-1	

**Dear Sir/ Madam,**

**Sub: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC)**

**Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated 23rd July, 2020**

With reference to the above, please find enclosed herewith report on violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct by Designated persons and or their immediate relatives.

This is for your information.

Thanking You.

**For Hindalco Industries Limited**

**Anil Malik**  
**President & Company Secretary**

**Hindalco Industries Limited**

6<sup>th</sup> & 7<sup>th</sup> Floor, Birla Centurion, Pandurang Budhkar Marg, Worli, Mumbai – 400030, India  
T:+91 22 66626666/62610555 | F:+912262610400/62610500 | W: [www.hindalco.com](http://www.hindalco.com)  
Registered Office : Ahura Centre, 1<sup>st</sup> Floor, B wing, Mahakali Caves Road, Andheri (East), Mumbai – 400093, India

Corporate ID No: L27020MH1958PLC011238

**Annexure A****Report by Hindalco Industries Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

Sr No	Particulars	Details
1	Name of the listed company	Hindalco Industries Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	<b>A. (1) Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr. Jagdish Ramaswamy
	ii. PAN of the DP	ABLPJ3422H
	iii. Designation of DP	President
	iv. Functional Role of DP	Digital Commom
	v. Whether DP is Promoter or belongs to Promoter Group	No
	<b>A. (2) Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr. Ramesha Achary
	ii. PAN of the DP	ALYP7694K
	iii. Designation of DP	Asst Manager
	iv. Functional Role of DP	CFD-Taxation
	v. Whether DP is Promoter or belongs to Promoter Group	No
	<b>A. (3) Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr. N. Jaishankar
ii. PAN of the DP	ACFPS7915R	
iii. Designation of DP	Asst Manager	
iv. Functional Role of DP	Executive Secretary to Unit Head	
v. Whether DP is Promoter or belongs to Promoter Group	No	

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<b>A. (4) Details of Designated Person (DP)</b>	
i. Name of the DP	Mr. Anand Pawar
ii. PAN of the DP	ALNPP1293N
iii. Designation of DP	Vice President
iv. Functional Role of DP	Unit HR
v. Whether DP is Promoter or belongs to Promoter Group	No
<b>B2. Name of the immediate relative of DP</b>	
PAN of the immediate relative of DP	Mr. Harish Achary AKKPH0621C
<b>C. Details of transaction(s)</b>	
i. Name of the scrip	<b>Hindalco Industries Limited (Equity)</b>
No of shares traded and value (Rs.) (Date-wise)	<p>i) Mr. Jagdish Ramaswamy sold 2 shares on 4<sup>th</sup> April, 2022 @Rs.568 per share during shut down period</p> <p>ii) Mr. Harish Achary brother of Mr. Ramesha Achary purchased 10 shares @ Rs. 495 per share on 26<sup>th</sup> April, 2022 during shut down period</p> <p>iii) Mr. N Jaishankar made the following purchase transactions during the shut – down period</p> <p>a) 5 shares @ Rs. 444 /- per share on 6<sup>th</sup> May, 2022.</p> <p>b) 5 shares @ Rs. 429 /- per share on 10<sup>th</sup> May, 2022.</p> <p>c) 2 shares @ Rs. 406 /- per share on 12<sup>th</sup> May, 2022.</p> <p>d) 2 shares @ Rs. 395 /- per share on 13<sup>th</sup> May, 2022</p> <p>iv) Mr. Anand Pawar purchased 159 shares during the shutdown period as per the following:</p> <p>(a) 50 shares @ Rs. 545 /- each on 20<sup>th</sup> April, 2022</p> <p>(b) 9 shares @ Rs. 514 /- each on 22<sup>nd</sup> April, 2022</p>

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		(c) 25 shares @ Rs. 484 /- each on 28 <sup>th</sup> April, 2022 (d) 50 shares @ Rs. 427 /- each on 11 <sup>th</sup> May, 2022 (e) 25 shares @ Rs. 406 /- each on 13 <sup>th</sup> May, 2022
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4.	Details of violations observed under Code of Conduct	Trading in the above securities of the Company were undertaken during the shut down period.
5.	Action taken by Listed company/ Intermediary/ Fiduciary	Committee has decided to issue written warning and penalty in line with Code of Conduct
6.	Reasons recorded in writing for taking action stated above	The Committee reviewed and took the following action 1. Mr Jagdish Ramaswamy was levied penalty amounting to Rs. 10,000 and also issued warning letter 2. Mr. Ramesha Achary was levied a penalty amounting Rs 10,000 and also issued a warning letter 3. Mr. N.Jaishankar was levied a penalty of Rs. 10,000 and also issued a warning letter 4. Mr. Anand Pawar was levied a penalty of Rs. 10,000 and also issued a warning letter

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7.	Details of the previous instances of violations, if any, since last financial year	N.A
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) NA	
	ii. Details of transfer/payment	
	In case of Online:	
	<b>Particulars</b>	<b>Details</b>
	Name of the transferor	-----
	Bank Name, branch and Account number	
	UTR /Transaction Reference Number	
	Transaction date	
	Transaction Amount (in Rs.)	
	In case of Demand Draft (DD)	
	<b>Particulars</b>	<b>Details</b>
	Bank Name and branch	
	DD Number	
	DD date	
	DD amount (in Rs.)	
9.	Any other relevant information	-----

Date: 21<sup>st</sup> June, 2022

Place: Mumbai

**For Hindalco Industries Limited**



**Anil Malik**  
**President & Company Secretary**

**Hindalco Industries Limited**

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