

BCC:ISD:112:16:243 17.08.2020

The Vice-President, B S E Ltd., Phiroze Jeejeebhoy Towers Dalal Street Mumbai - 400 001 BSE CODE-532134 The Vice-President,
National Stock Exchange of India Ltd.
Exchange Plaza,
Bandra Kurla Complex, Bandra (E)
Mumbai - 400 051
CODE-BANKBARODA

Dear Sir / Madam,

Re: Bank of Baroda - Disclosure under Regulation 30 SEBI (LODR) Regulations, 2015.

We advise that the Securities and Exchange Board of India in exercise of powers conferred under Section 15-I of the SEBI Act, 1992 read with Rule 5 of Securities and Exchange Board of India (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995, has imposed a penalty aggregating to Rs. 10.00 Lac (Rs. Ten Lac only) on the Bank for non-compliance of Regulation 7B of SEBI (Mutual Fund Regulations), 1996 with the directions issued by the SEBI in the case of holding, more than prescribed limit, equity shares of UTI Asset Management Company Limited and UTI Trustee Private Ltd.

Whole Time Member of the SEBI had earlier directed the Bank to comply with the guidelines on or before 31st December, 2020.

We request you to take note of the above pursuant to Regulation 30 of SEBI (LODR) Regulations, 2015 and upload the information on your website.

Yours faithfully,

P K Agarwal Company Secretary

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