



निवेशक सेवार्यें प्रभाग, बोर्ड सचिवालय  
Investor Services Division, Board Secretariat

Ref: ISD/22-23/028

April 26, 2022

<b>BSE Ltd.</b> Corporate Relationships Dept. Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001. Scrip Code-532 477	<b>National Stock Exchange of India Ltd.</b> Listing Dept. Exchange Plaza, Plot No.C/1, G Block Bandra-Kurla Complex, Bandra (E), Mumbai-400 051. Scrip Symbol/Series-UNIONBANK-EQ
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Madam/Sir,

**Subject: Certificate of Practicing Company Secretary for the year ended on March 31, 2022 - Regulation 40(10) & 61(4) of the SEBI (LODR) Regulations, 2015**

Pursuant to Regulation 40(10) and 61(4) of SEBI (LODR) Regulations, 2015, we submit herewith a copy of certificate dated April 26, 2022 issued by Mr. B. Durgaprasad Rai, Practicing Company Secretary under regulations 40(9) and 61(4) of SEBI (LODR) Regulations, 2015, for the year ended on March 31, 2022 for your perusal and information.

Thanking you.

Yours faithfully,

(Mangesh Mandrekar)  
Company Secretary

Encl.: as above.



# B. DURGAPRASAD RAI

Company Secretary

D-211, Ghatkopar Industrial Estate, Behind R City Mall, Off: LBS Marg, Ghatkopar -West,  
Mumbai-400 086

Contact: 022-49716604 / 9869036781

e-mail: dprai@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015, FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

On the basis of examination of the relevant documents relating to equity shares of UNION BANK OF INDIA, maintained and made available to me by Datamatics Business Solutions Limited, the Registrars and Share Transfer Agents (RTA) of the Bank, pertaining to the period from 01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022, for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that:

A) 1.(a). The Company has not received any request for transfer/renewal/consolidation/transposition of names/sub-division (split)/exchange of securities, or endorsement of calls/allotment monies during the said period from 01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022.

1 (b) In respect of requests relating to transmission of shares/deletion of names the requests were processed in demat form/certificates were delivered, within 30 days of the dates of lodgement thereof.

B) Any other information, if applicable: NIL.



  
B DURGAPRASAD RAI  
COMPANY SECRETARY  
ACS-10060, COP-4390

UDIN: A010060D000208434

Place: Mumbai

Date: 26<sup>th</sup> April, 2022

# B. DURGAPRASAD RAI

Company Secretary

D-211, Ghatkopar Industrial Estate, Behind R City Mall, Off: LBS Marg, Ghatkopar -West,  
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**CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015, FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2022**

Based on the Information/details provided to me by UNION BANK OF INDIA, I hereby certify that in respect of the Bonds issued by the Bank in Demat Form, no physical bond certificates were required to be delivered during the period from 01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022, and there were no requests for transfer/transmission, pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.



A handwritten signature in blue ink, appearing to read "B. Durgaprasad Rai".

B DURGAPRASAD RAI  
COMPANY SECRETARY  
ACS-10060, COP-4390

UDIN: A010060D000208434

Place: Mumbai

Date: 26<sup>th</sup> April, 2022