



June 19, 2020

**BSE Limited**  
**Corporate Relationship Department,**  
1<sup>st</sup> Floor, Rotunda Building,  
PJ Towers, Dalal Street,  
Mumbai - 400 001

**Scrip Code: 504341**

Dear Sir,

**Sub.: Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2020**

**REF.: SEBI CIRCULAR NO. CIR/CFD//CMD1/27.2019 dated 8<sup>th</sup> February, 2019**

Dear Sir/Madam,

In terms of Clause 3 (b)(iii) of the above referred Circular and Pursuant to Regulation 24 A of SEBI (LODR) Regulations, 2015, we are submitting the Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2020 issued by Mr. Sanjay Dholakia of M/s. Sanjay Dholakia & Associates, Practicing Company Secretary and the Secretarial Auditor, of the Company.

We request you to take the same on your records.

Thanking you.

Yours faithfully,

**For Ravindra Energy Limited**

**Vadiraj Mutalik**  
Company Secretary & Compliance Officer



**Ravindra Energy Limited**

(Formerly Revindra Trading & Agencies Limited)

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# SANJAY DHOLAKIA & ASSOCIATES

BCOM LLB FCS

COMPANY SECRETARIES

GP 15, 2nd Floor, Raghuleela Mall, Behind Poinspur Bus Depot, Kandivali (West), Mumbai - 400 067.  
☎ : 2807 3233 / 49713233 / 98700 31365 • E-mail : sanjayrd65@gmail.com / sanjay@srdholakia.com

## ANNEX-A

Secretarial compliance report of RAVINDRA ENERGY LIMITED for the year ended 31<sup>st</sup> March, 2020

I Sanjay Dholakia, Practising Company Secretary have examined:

- (a) All the documents and records made available to us and explanation provided by Ravindra Energy Limited ("the listed entity");
- (b) The filings / submission made by the listed entity to the stock exchanges;
- (c) Website of the listed entity;

For the year ended ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act ,1992 ("SEBI Act") and the Regulations , circulars, guidelines issued thereunder; and
- (b) The Securities Contract (Regulation) Act,1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI Act");

The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulations,2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirement) Regulations,2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;







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- (d) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (e) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (f) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (g) Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) (Amendment) Regulations, 2018; and
- (h) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 and circulars / guidelines issued thereunder.

**And based on the above examination, I hereby report that, during the Review Period:**

- (a) The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/Circulars/Guidelines including specific clause )	Deviations	Observations /Remarks Of The Practicing Company Secretary
	NIL	NIL	NIL

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued thereunder insofar as it appears from my /our examination of those records.
- (c) The following are the details of actions taken against the listed entity / its promoters / directors / material subsidiaries either by SEBI or by Stock Exchanges (including under the standard operating procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars / guidelines issued thereunder:





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Sr. No.	Action Taken By	Details Of Violation	Details Of Action Taken E.G. Fines, Warning Letter, Debarment, Etc.	Observations/ Remarks Of The Practicing Company Secretary, If Any.
	NIL	NIL	NIL	NIL

### Note:

The Company had filed settlement application in terms of SEBI (Settlement Proceedings) Regulations, 2018 proposing to settle, without admitting or denying the findings of fact and conclusions of law, through a settlement order, the pending enforcement proceedings initiated vide Show Cause Notice dated May 11, 2017 issued to Shree Renuka Energy Limited (amalgamated with Ravindra Energy Limited).

The Settlement Application made in terms of SEBI (Settlement Proceedings) Regulations, 2018 by the Company, was considered by SEBI for settlement and accordingly the matter is settled and necessary Orders have been passed by SEBI.

### For SANJAY DHOLAKIA & ASSOCIATES

*Dholakia Sajal*  
(SANJAY DHOLAKIA)  
Practicing Company secretary  
Proprietor  
Place: Mumbai  
Date: 5<sup>th</sup> June, 2020  
FCS 2655 CP 1798  
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