

Date: October 21, 2019

To, The Manager, Listing Department, National Stock Exchange of India Limited, (NSE) Exchange Plaza, C-1, Block-G, Bandra-Kurla Complex, Bandra (E), Mumbai-400051.	To, The Department of Corporate Service, BSE LIMITED (BSE) 1 st Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai-400 001.
SYMBOL - LINCOLN	Scrip Code - 531633

Dear Sir,

SUB.: CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON SEPTEMBER 30, 2019.

With reference to the above cited subject, please find enclosed herewith Half Yearly Compliance Certificate for the Period Ended on September 30, 2019 as required to be sent under Regulation 40 (9) of SEBI (Listing and Obligations Requirements) Regulations, 2015.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

FOR LINCOLN PHARMACEUTICALS LIMITED

MAHENDRA G. PATEL Digitally signed by
MAHENDRABHAI
G PATEL
Date: 2019.10.21
14:04:35 +05'30'

MAHENDRA G. PATEL
MANAGING DIRECTOR
DIN: 00104706

Encl.: As above

Regd. Office : "Lincoln House", Science City Road, Sola, Ahmedabad-380 060. Gujarat, India
Phone : +91-79-4107 8000 | **Fax :** +91-79-4107 8062 | **CIN** L24230GJ1995PLC024288
E-mail : info@lincolnpharma.com | **Website :** www.lincolnpharma.com

Factory : 10, 12, 13, Trimul Estate, Near Khatraj Chokadi, P.O. Khatraj-382721. Ta. : Kalol, Dist. Gandhinagar, [Guj.]
Phone : +91-79-49135000
E-mail : khatraj@lincolnpharma.com



C E R T I F I C A T E

The Securities and Exchange Board of India (SEBI) vide its notification dated 8th June, 2018 and its subsequent press release dated 3rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 1st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019.

Accordingly, we have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **LINCOLN PHARMACEUTICALS LIMITED**, having its Registered Office at LINCOLN HOUSE , Behind Satyam Complex Science City Road, Sola Ahmedabad -380060, maintained by **LINK INTIME INDIA PRIVATE LIMITED** Registrar & Transfer Agent (RTA) pertaining to transfer of equity shares and other related matters with regard to equity shares of the Company for the period from 01.04.2019 to 30.09.2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Uniform Listing Agreement entered by **LINCOLN PHARMACEUTICALS LIMITED** with National Stock Exchange of India Limited (NSE) & BSE Limited (BSE) and based on the information provided by the Company and RTA, we hereby certify that:

- A. Share Certificates relating to the transfer deeds lodged prior to 1st April, 2019 and re-lodged thereafter and received during the period from 1st April, 2019 to 30th September, 2019 have been issued within period of 30 days from the date of lodgement except those rejected on technical grounds. And there was no sub-division, consolidation, renewal, exchange or endorsement of calls / allotment of monies during the aforesaid period
- B. Since there are no debentures, transfer of debentures within stipulated period is not applicable.

**FOR UMESH PARIKH & ASSOCIATES
COMPANY SECRETARIES**

UMESH
GOVINDPRASAD
AD PARIKH

Digitally signed by UMESH GOVINDPRASAD
PARIKH
DN: cn=Umesh, o=Personal, postalCode=380012,
st=Gujarat,
c=IN, email=umesh@parikhdave.com, serial=12
Reason: I am the Proprietor
Date: 2019.10.21 11:54:22 +05'30'

**UMESH G. PARIKH
PRACTICING COMPANY SECRETARY
PROPRIETOR**

**FCS No.: 4152 C. P. No.: 2413
UDIN: F004152A000130621**

**Place: Ahmedabad
Date: 21.10.2019**