## SRESTHA FINVEST LIMITED

Date: 10th October, 2022

To The Manager **Listing Department** 

- 1. Bombay Stock Exchange Ltd, Mumbai
- 2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the quarte ended 30/09/2022

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 30/09/2022 are as follows:

- 1. Disclosure under 13(3) of SEBI (LODR) Regulations, 2015, Investor Complaints for the quarter ended 30th September, 2022.
- 2. Quarterly Compliance Report on Corporate Governance for the quarter ended 30<sup>th</sup> September, 2022.
- 3. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 30<sup>th</sup> September, 2022.
- 4. Reconciliation of Share Capital Audit for the quarter ended 30<sup>th</sup> September, 2022.

This is for your information and records.

Yours faithfully, For Srestha Finvest Limited

A. JITENDRA Digitally signed by A. **KUMAR** BAFNA BAFNA

Date: 2022.10.10

**Company Secretary & Compliance Officer** 

Encl: as above



## **PURVA SHAREGISTRY (I) PVT. LTD.**

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)
GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011 Tel: 2301 2518 / 2301 6761 • Email: support@purvashare.com • Web: www.purvashare.com

04/10/2022

To,

SRESTHA FINVEST LIMITED - INE606K01023

Dear Sir/Madam.

Sub: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that security received from the depository participants for dematerialisation during the quarter ended September, 2022, were confirmed (accepted / rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

## SRESTHA FINVEST LIMITED - INE606K01023 - Details of Share certificates dematerialised from 01/07/2022 to 30/09/2022

FOLIO	NAME OF SHAREHOLDE R	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALIS ED ON
00000037	RAJENDRAN V K	0101058	75046001	75046200	200	17/08/2022
00007494	KAMALKISHOR H PUROHIT	0101999	75643651	75643750	100	15/09/2022
00004106	KEYUR SHAH	0101664	75474801	75474850	50	22/09/2022