

SERA INVESTMENTS & FINANCE INDIA LIMITED

(Formely known as Kapashi Commercial Limited)

Date: April 29, 2023

To,
BSE Ltd.
Floor 25, P. J. Towers
Dalal Street,
Mumbai - 400 001

Dear Sir,

Sub.: Compliance Certificate for the Financial Year ended on March 31, 2023
Ref:- Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
Scrip Code: 512399

Dear Sir,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Compliance Certificate obtained from M/s. Vishwas Sharma & Associates, Practising Company Secretaries for the Financial Year ended on **March 31, 2023**.

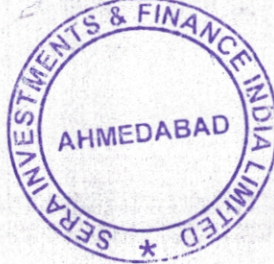
Kindly take the same in your record.

Thanking you,

Yours faithfully,

For, SERA INVESTMENTS & FINANCE INDIA LIMITED
(Formerly Known as KAPASHI COMMERCIAL LIMITED)

SAGAR SAMIR SHAH
WHOLE-TIME DIRECTOR
DIN - 03082957



Encl: As Above

Vishwas Sharma & Associates

COMPANY SECRETARIES

CERTIFICATE UNDER REGULATION 40(9) of SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. SERA INVESTMENTS & FINANCE INDIA LIMITED (Formerly Known as Kapashi Commercial Limited)(CIN: L51900GJ1985PLC110976)** maintained by the M/s. Purva Shareregistry (India) Private Limited pertaining to transfer, sub-division, consolidation, renewal, exchange of equity shares of the company for the period from **April 01, 2022 to March 31, 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, I hereby certify that the Company has delivered:

- A) The Company has not received any requests for transfer of shares, name deletion, issue of duplicate share certificate, transposition, sub-division, consolidation, renewal, exchange or endorsement of call/allotment monies during the period from **April 01, 2022 to March 31, 2023**.

Date: April 29, 2023

Place: Ahmedabad

**For, Vishwas Sharma & Associates
Company Secretaries**



**Vishwas Sharma
Proprietor
ACS: 33017
COP: 16942**

UDIN: A033017E000224450