



AuSom Enterprise Limited

Ref. No. : - AEL/SEC/STOCK/2021-22

Date: - 13/04/2021

To,
Listing Department
BSE Limited, Mumbai
"Corporate Relationship Department",
Phiroze Jeejeebhoy Tower, Dalal Street,
Mumbai - 400 001.

To,
National Stock Exchange of India Limited
"Exchange Plaza",
Bandra Kurla Complex,
Bandra (East) -
Mumbai - 400051.

SECURITY CODE: 509009 || SECURITY ID: AUSOMENT || ISIN: INE218C01016 || SERIES: EQ

Dear Sir/Madam,

Sub: - Submission of Compliance Certificate in respect of Regulation 40(9) of SEBI (LODR), 2015

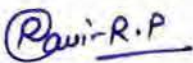
This has reference to captioned subject, and as per the requirement of the Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a Compliance Certificate issued by Mr. Niraj Trivedi, Practicing Company Secretary as per the Regulation 40(9) for the period commencing from 1st October, 2020 to 31st March, 2021.

You are requested to take note of the same and acknowledge.

Thanking you in anticipation.

Yours Sincerely,

For, AuSom Enterprise Limited



Ravikumar Pasi
Company Secretary &
Compliance Officer



Encl.: - Certificate as per Regulation 40(9)

Correspondence Address : 606 "SWAGAT", Near Lal Bungalow,
C.G.Road, Ahmedabad-380 006. Phone : +91 79 2642 1422-1499
Fax : +91 79 2656 9898 E-mail : ausom.ael@gmail.com www.ausom.in

Registered Office : 11-B, New Ahmedabad Industrial Estate,
Sarkhej-Bavla Road, Village - Moraiya - 382 213.
Dist. Ahmedabad, Gujarat, INDIA • CIN : L67190GJ1984PLC006746

CERTIFICATE

**As per Regulation 40(9) of the
SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
For the half year ended on 31st March, 2021**

We have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other relevant documents relating to **AUSOM ENTERPRISE LIMITED, having CIN: L67190GJ1984PLC006746**, (The Company) being maintained by **Link Intime India Private Limited**, Ahmedabad (R&T Agent) pertaining to transfer of Equity Shares for the period from **01st October, 2020** to **31st March, 2021 (Reporting Period)** for the purpose of issuing this Certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 and on the basis of the information provided by the Company, we hereby certify that the Company has delivered during half year ended on **31st March 2021;**

- A)** One request for transfer of shares was received during the Reporting Period *and the same was accepted* within 30 (Thirty) days from the date of its lodgment; and
- B)** has delivered share certificates in respect of requests relating to deletion of name received during the Reporting Period within thirty days, from the respective date of lodgment. As informed to us there were no request relating to transmission, transposition of securities, sub-division(split), consolidation, renewal, exchange, or endorsement of calls/allotment monies received during the said period.

DATE: 13th April, 2021
PLACE: VADODARA



(Handwritten Signature)
NIRAJ TRIVEDI
COMPANY SECRETARY
C. P. NO. 3123

PR NO.: 1 0 1 4 / 2 0 2 0
UDIN: F003844C000079691