



Vaswani Industries Limited

POWER • SPONGE IRON • STEEL

• CIN - L28939CT2003PLC015964 • GSTN 22AABCV9564E1ZB



VIL/SECRETARIAL/2019-20

Date: 23rd October, 2019

BY LISTING PORTAL

National Stock Exchange of India Ltd,
Listing Department
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East),
Mumbai - 400 051

Bombay Stock Exchange Ltd.,
Listing Department
Market Operations Dept.
P. J. Towers,
Dalal Street,
Mumbai - 400001

Dear Sir(s),

REF: CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015

With reference to the above caption, we hereby submit the Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 issued from Satish Batra & Associates LLP, Company Secretaries for the half year ended 30th September, 2019.

This is for your information and records.

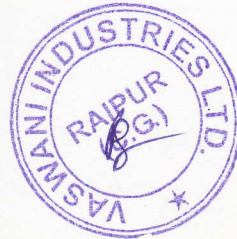
Thanking You,
Yours Faithfully

For Vaswani Industries Limited

Ragini Shukla

(Ragini Shukla)

Company Secretary & Compliance Officer



98931-26091, 0771-4048130
Email: satsihbatra40@yahoo.co.in

SATISH BATRA & ASSOCIATES LLP
COMPANY SECRETARIES

2nd floor, Ashoka Plaza, Ashoka Ratan,
Vidhan Sabha Marg, Shankar Nagar,
Raipur, 492007 (C. G.)

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2019.

On the basis of confirmation received from **LINK INTIME INDIA PRIVATE LIMITED**, Registrar & Share Transfer Agent of **VASWANI INDUSTRIES LIMITED** (CIN: L28939CT2003PLC015964) the Company, we hereby certify that, there was no request for transfer, sub-division, consolidation, renewal, endorsement, Transposition & Transmission has been received by the Company during the period from **1st April, 2019 to 30th September, 2019.**

This certificate has been issued under Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulation, 2015.



For Satish Batra & Associates LLP,
Company Secretaries

CS Ayushi Agrawal
Designated Partner
ACS No.: 54489,
C.P. No. 21862

UDIN No: A054489A000124383

Date: 19th October, 2019
Place: Raipur (C. G.)

11th October, 2019

To,
Vaswani Industries Limited
Bahekar Road, Near Cycle Park,
Vill - Sondra Phase-II, Industrial Area,
Siltara Raipur (C.G.),
Raipur - 493221

Sub: Certification under Reg. 40(9) for quarter ended on 30.09.2019

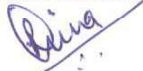
Dear Sir/ Madam,

We hereby certify that we have not received any Transfer/ Transmission / Name Deletion / Transposition requests during the period 01.04.2019 to 30.09.2019.

This is for your information.

Yours faithfully

For Link Intime India Pvt Ltd



Authorised Signatory