



October 03, 2019

The Manager,
Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.
Tel No.: 2659 8235
NSE Symbol: IIFLSEC

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.
Tel no.: 22721233
BSE Scrip Code: 542773

Dear Sir/ Madam,

Sub:- Submission of Compliance Certificate pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed captioned certificate, for the half year ended September 30, 2019, counter signed by the authorised representative of Link Intime India Private Limited, Registrar & Share Transfer Agent of the Company.

Kindly take the same on record and oblige.

Thanking you,

Yours faithfully,

For IIFL Securities Limited

A handwritten signature in blue ink, appearing to read "Jayesh Upadhyay".

Jayesh Upadhyay
Company Secretary



Encl: a/a

IIFL Securities Limited (Formerly known as "India Infoline Limited")

Corporate Identity Number: U99999MH1996PLC132983 **IIFL Tech Center:**6th Floor, Akruti Center Point, Central Road, MIDC, Andheri (E), Mumbai-400093 **Regd. Office:**IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Agle Estate, Thane - 400 604 **Tel:** (91-22) 3929 4000/ 4103 5000 • **Fax:** (91-22) 2580 6654 • **Website:** www.iifl.com



October 03, 2019

BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400001

Scrip Code: 542773

Dear Sirs,

Subject: Compliance Certificate - Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

As per the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to share transfer facility are maintained during the period from April 01, 2019 to September 30, 2019 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you.

Yours truly,

For IIFL Securities Limited

For Link Intime India Private Limited

A handwritten signature in black ink, appearing to read "Jayesh Upadhyay".

Jayesh Upadhyay
Company Secretary

A handwritten signature in black ink, appearing to read "Chetty".
Authorized Representative

IIFL Securities Limited (Formerly known as "India Infoline Limited")

Corporate Identity Number: U99999MH1996PLC132983 **IIFL Tech Center:** 6th Floor, Ackruti Center Point, Central Road, MIDC, Andheri (E), Mumbai-400093 **Regd. Office:** IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Agle Estate, Thane -400 604 **Tel:** (91-22) 3929 4000/ 4103 5000 • **Fax:** (91-22) 2580 6654 • **Website:** www.iifl.com