

Biocon Limited 20th KM, Hosur Road Electronic City Bangalore 560 100, India T 91 80 2808 2808 F 91 80 2852 3423

CIN: L24234KA1978PLC003417

www.biocon.com

October 13, 2020

То	То
The Secretary	The Secretary
BSE Limited	National Stock Exchange of India Limited
Department of Corporate Services	Corporate Communication Department
Phiroze Jeejeebhoy Towers,	Exchange Plaza, Bandra Kurla Complex
Dalal Street, Mumbai – 400 001	Mumbai – 400 050
Scrip Code - 532523	Scrip Symbol - Biocon

Subject: Compliance Certificate under Regulation 40 (9) of SEBI Listing Regulations for the half year ended September 30, 2020.

Dear Sir/Madam,

With reference to the above subject, please find enclosed the Half Yearly Compliance Certificate under Regulation 40(9) of SEBI Listing Regulations, issued by Mr. Pradeep B Kulkarni, Practicing Company Secretary with regard to transfer/sub-division/consolidation/renewal/exchange or endorsement of calls/allotment of monies for the half year ended September 30, 2020.

Kindly take the same on record.

Thanking You,

For Biocon Limited

**Mayank Verma** 

meinel.

**Company Secretary and Compliance Officer** 

## V. SREEDHARAN AND ASSOCIATES

**Company Secretaries** 

No. 32/33, 1<sup>st</sup> and 2<sup>nd</sup> Floor, GNR Complex, 8<sup>th</sup> Cross, Wilson Garden, Bengaluru 560 027 **C**+91 80 222 90 394 ■ compliance@sreedharancs.com



CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020

To, **KFin Technologies Limited** Karvy Selenium Tower B, Plot No.31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad-500032, Telangana

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **BIOCON LIMITED** maintained by KFin Technologies Private Limited, Hyderabad (RTA) pertaining to transfer of equity shares of the Company for the period from 01.04.2020 to 30.09.2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/RTA, we hereby certify that:-

- A. The Company has not received any request for transfer of shares during the half year ended 30.09.2020 and hence delivery of Share Certificates within one month relating to the Share Transfer during the said half year does not arise.
- B. No request was received for issue of duplicate Share Certificate, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the aforesaid period.

## For V. Sreedharan & Associates

PRADEEP

BHEEMSEN

BLOOM STATE OF THE STATE

(Pradeep B Kulkarni) Partner ACS 7260; CP No. 7835

October 12, 2020 Bengaluru

UDIN: F007260B000912549

C.C. to: **Biocon Limited** Bengaluru