

## (Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001.

Tel.: 022-4058 7300 • Fax: +91-22-4058 7360 • Email: swan@swan.co.in

CIN. L17100MH1909PLC000294

Swan/nse/bse 4<sup>th</sup> July, 2023

Dept. of Corporate Compliances, National Stock Exchange Limited, Exchange Plaza, Plot No. C/1, G Block, Bandra –Kurla Complex, Bandra-East, Mumbai – 400 051

Symbol: SWANENERGY

Dear Sir,

Dept. of Corporate Service BSE Limited, P.J. Tower, Dalal Street, Fort, Mumbai – 400 001 Scrip Code: 503310

## <u>Sub: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 for the Quarter ended 30<sup>th</sup> June, 2023</u>

We are hereby submitting Certificate received by the Company under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 from the Registered Transfer Agent of the Company for the Quarter ended 30<sup>th</sup> June, 2023.

The above is for your information and dissemination please.

Thanking you,

Yours faithfully,

For Swan Energy Limited

(Arun Agarwal) Company Secretary



## **PURVA SHAREGISTRY (I) PVT. LTD.**

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)
GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011 Tel : 022-3199 8810 / 4961 4132 • Email: support@purvashare.com • Web : www.purvashare.com

01/07/2023

To,

SWAN ENERGY LIMITED - INE665A01038

Dear Sir/Madam.

Sub: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that security received from the depository participants for dematerialisation during the quarter ended June, 2023, were confirmed (accepted / rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

## SWAN ENERGY LIMITED - INE665A01038 - Details of Share certificates dematerialised from 01/04/2023 to 30/06/2023

FOLIO	NAME OF SHAREHOLDE R	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALIS ED ON
C0010002	CHANDRAKALA MEHTA	0302243	1532301	1532800	500	04/04/2023
002212	SHANTILAL KESHARICHAN D SHAH	0300051	17801	18400	600	18/04/2023
042127	NALINKUMAR BABUBHAI MODI	0303926	746701	747200	500	19/04/2023
K0010008	KARTIK NAVINCHANDR A SHAH	0303925	59901	60000	100	26/04/2023
R0010009	ROSHAN FAROKH DELKHAH	0303879	532701	537700	5000	27/04/2023
S0010016	SATISHBHAI GANDABHAI SHAH	0303927	1405001	1405200	200	02/05/2023
A0010016	ANJU SHANTILAL BADJATE	0303922	26901	27100	200	08/05/2023
040404	KIRTIKUMAR DAHYABHAI DESAI	0303924	436701	436900	200	08/05/2023
S0010015	SUSHILKUMAR BHURALAL SHETH	0301190	835701	835800	100	19/05/2023
S0010015	SUSHILKUMAR BHURALAL SHETH	0300921	676601	676800	200	25/05/2023
J0010006	JAYANT RASIKLAL FOFALIA	0303928	340201	340300	100	01/06/2023
K00157	KASHMIRA CHANDRAKAN T KOTHARI	0302520	1916201	1916300	100	02/06/2023
B0010007	BHANUMATI BHARATKUMA R SHAH	0301188	835401	835500	100	03/06/2023
B0010006	BHANUMATI BHARATKUMA R SHAH	0300205	98701	98900	200	03/06/2023
N0010008	NILIMA ISHVERLAL MEHTA	0303929	309301	309400	100	13/06/2023
P0010006	PAYANDEH DINYAR BILLIMORIA	0303935	843401	843500	100	14/06/2023
045643	KULIN BECHARLAL TRIVDI	0301846	1243001	1243600	600	19/06/2023
049167	RAVI NAVNIT	0302400	1777401	1778000	600	21/06/2023
P0010005	PARAG NATWARLAL MEHTA	0303930	1087401	1087900	500	22/06/2023
M0010019	PARAG NATWARLAL MEHTA	0303933	879401	879900	500	22/06/2023
047674	NALINA SATISH JHAVERI	0302163	1481501	1481600	100	26/06/2023
M0010021	MRS ARUNA NAVNITLAL SHAH	0301020	753001	753500	500	29/06/2023
045544	SADHANA GADIA	0303931	1198001	1198100	100	29/06/2023