

Industrial Growth Center, Siltara
Raipur (CG) 493111, India
Tel: +91 771 2216100
Fax : +91 771 2216198/99
PAN No.: AAACR6149L
CIN : L27100MH1973PLC 016617
www.seml.co.in
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An ISO 9001, ISO 14001 & OHSAS 18001
Certified Company



18th July, 2020

BSE Ltd
The Department of Corporate Services
Phiroze Jeejeebhoy Towers
Dalal Street - Mumbai 400 001

National Stock Exchange of India Ltd.
Exchange Plaza, Bandra Kurla Complex
Bandra (E), Mumbai - 400051
Fax. No: 022-26598237/38, 022-26598347/48

Security Code No. : 504614

Symbol: SARDAEN

Series : EQ

Dear Sir,

Sub: Annual Secretarial Compliance Report - F.Y. 2019-20

Please find enclosed herewith the Annual Secretarial Compliance Report for the financial year ended 31st March, 2020, issued by M/s. S.G. Kankani & Associates, Practicing Company Secretaries, u/r 24A of SEBI (Listing Obligations & Disclosure Requirements), Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019.

This is for your information and records.

Thanking you.

Yours faithfully,
For Sarada Energy & Minerals Ltd.


Authorised Signatory

Encl: As above



S.G. KANKANI & ASSOCIATES
COMPANY SECRETARIES

SECRETARIAL COMPLIANCE REPORT
OF
M/S SARDA ENERGY & MINERALS LIMITED
FOR THE YEAR ENDED MARCH 31, 2020

TO,
M/S SARDA ENERGY & MINERALS LIMITED,
CIN: L27100MH1973PLC016617
73-A, CENTRAL AVENUE,
NAGPUR (MS) 440018

I Kamlesh Ojha, Partner of M/s S.G. Kankani & Associates, Company Secretaries, Raipur (Chhattisgarh) have examined:

- all the documents and records made available to us and explanation provided by M/s Sarda Energy & Minerals Limited (hereinafter referred to as "the listed entity"),
- the filings/submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/filing, as may be relevant, which has been relied upon to make this certification.

for the year ended 31st March, 2020 ("Review Period") in respect of compliance with the provisions of :

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (*Not Applicable to the listed entity during the Review Period*)
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (*Not Applicable to the listed entity during the Review Period*)
- Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (*Not Applicable to the listed entity during the Review Period*)

SARDA ENERGY & MINERALS LIMITED - SECRETARIAL COMPLIANCE REPORT- FY 2019-20

69, 1ST FLOOR, MAHALAXMI CLOTH MARKET, PANDRI, RAIPUR - 492 004 (C.G.)

☎ - 0771 - 4053769, 75666-81980, 98274-09847 (M) ✉ - sgkankani.cs@gmail.com





S.G. KANKANI & ASSOCIATES

COMPANY SECRETARIES

- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; *(Not Applicable to the listed entity during the Review Period)*
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participant) Regulation 2018;

and circulars/guidelines issued thereunder and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued there under.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder in so far as it appears from my examination of those records.
- c) There are no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

PLACE: RAIPUR (C.G.)
DATE : 25.06.2020

FOR, S.G. KANKANI & ASSOCIATES
COMPANY SECRETARIES


(CS KAMLESH OJHA)

PARTNER

ACS NO.: 39476

CP NO. : 14660

PR : 424/2016

UDIN : A039476B000382643

