



IIFL WEALTH

October 7, 2022

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.

BSE Scrip Code: 542772

The Manager,
Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.

NSE Symbol: IIFLWAM

Dear Sir(s)/Madam(s),

Subject: Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Please find enclosed certificate received from Link Intime India Pvt. Ltd., Registrar and Share Transfer Agent of the Company, for the quarter ended September 30, 2022. This report is submitted in compliance with Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Please take the same on your record.

Thanking You,

Yours faithfully,
For IIFL Wealth Management Limited

Rohit Bhase
Company Secretary
(ACS: 21409)
Email: secretarial@iiflw.com

Encl: As above

IIFL WEALTH MANAGEMENT LIMITED

Corporate & Registered Office:
IIFL Centre, Kamala City, Senapati Bapat Marg,
Lower Parel, Mumbai – 400 013
TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

Date : - 04th October, 2022

**To,
Company Secretary
IIFL Wealth Management Limited
IIFL Centre, Kamala City,
Senapati Bapat Marg, Lower Parel,
Mumbai - 400013**

Subject: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September 2022, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking You,

**Yours faithfully,
For Link Intime India Pvt. Ltd**


**Sharmila Amin
Associate Vice President-Client Relations**