

# V.B. Desai Financial Services Limited

Category I Merchant Banker - SEBI Registration No. INM 000002731

15th May 2020

The Corporate Relations Department  
BSE Limited  
P.J. Towers, 1<sup>st</sup> Floor  
Dalal Street, Mumbai – 400 001

Dear Sirs,

**Sub: Compliance Certificate for the half year ended 31st March 2020**

**Ref: Company Code No. 511110**

We submit the Compliance certificate under Regulation 7 (3) of SEBI LODR Regulation for the half year ended on 31st March 2020

Kindly take the same on your record.

Thanking you,

Yours faithfully,

**For V.B. DESAI FINANCIAL SERVICES LIMITED**

**Kamlesh M. Gagavani**  
**Company Secretary**

Encl: as above

ISIN: INE848D01018

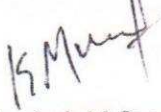
Scrip Code:511110

**Compliance Certificate**

*(Pursuant to Regulation 7(3) of SEBI (LODR) Regulations, 2015)*

Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all activities in relation to both physical and electronic share transfer facility are maintained by our Share Transfer Agent viz. M/s. Purva Shareregistry (I) Private Ltd. having its Office at 9, Shiv Shakti Industrial Estate, J.R. Boricha Marg, Lower Parel (East), Mumbai -400 011 and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR00000112.

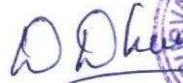
**For V.B. Desai Financial Services Ltd.**



Kamlesh M Gagavani  
Compliance Officer  
M.No. FCS 4822



**For Purva Shareregistry (I) Private Limited**



Deepali Dhuri  
Compliance Officer/ Authorised Signatory



Place: Mumbai

Date: 15th May 2020

Place: Mumbai

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