

34/LG/SE/APRIL/2021/GBSL

April 14, 2021

To,
Listing Department,
BSE Limited
Phiroze Jeejeebhoy Towers, Dalal Street,
Mumbai – 400 001
Security Code :509079

To,
Listing Department,
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (E), Mumbai – 400 051
Security Code : GUFICBIO

Dear Sir,

Sub.: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the provisions of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith certificate received from M/s. Manish Ghia & Associates, Practicing Company Secretary, Mumbai for the half year ended March 31, 2021.

Kindly take the same on your record.

Yours faithfully,

For Gufic Biosciences Limited



Ami Shah
Company Secretary
Membership No. A39579

Encl: A/a

CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015, FOR THE HALF YEAR ENDED 31ST MARCH, 2021

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Gufic Biosciences Limited** having its registered office at Shop No.-37, First Floor, Kamala Bhavan II, Swami Nityanand Road, Andheri East, Mumbai-400057 maintained by **Link Intime India Private Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st October, 2020 to 31st March, 2021**, for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **Gufic Biosciences Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **31st March, 2021** the Company:

- a) was not required to deliver any share certificates as it has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.

For Manish Ghia & Associates
Company Secretaries
(Unique ID: P2006MH007100)



Mannish L Ghia

CS Mannish L. Ghia
Partner

M. No. FCS 6252, C.P. No. 3531
PR 822/2020

Place: Mumbai
Date: April 14, 2021
UDIN: F006252C000082823

Note: Due to lockdown on account of COVID – 19 Pandemic, we have not been able to carry out physical visit to RTA office for verification of data. The Certificate is based on inputs received via email from RTA and oral confirmation with RTA.