



# IIFL WEALTH

January 6, 2022

The Manager,  
Listing Department,  
**BSE Limited,**  
Phiroze Jeejeebhoy Tower,  
Dalal Street,  
Mumbai 400 001.

**BSE Scrip Code: 542772**

The Manager,  
Listing Department,  
**The National Stock Exchange of India Ltd.,**  
Exchange Plaza, 5 Floor, Plot C/1, G Block,  
Bandra - Kurla Complex, Bandra (E),  
Mumbai 400 051.

**NSE Symbol: IIFLWAM**

Dear Sir(s)/Madam(s),

**Subject: Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018**

Please find enclosed certificate received from Link Intime India Pvt. Ltd., Registrar and Share Transfer Agent of the Company, for the quarter ended December 31, 2021. This report is submitted in compliance with Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Please take the same on your record.

Thanking You,  
Yours faithfully,  
**For IIFL Wealth Management Limited**

**Rohit Bhase**  
**Company Secretary**  
**(ACS: 21409)**  
**Email: [secretarial@iiflw.com](mailto:secretarial@iiflw.com)**

**Encl: As above**

## **IIFL WEALTH MANAGEMENT LIMITED**

Corporate & Registered Office:  
IIFL Centre, Kamala City, Senapati Bapat Marg,  
Lower Parel, Mumbai – 400 013  
TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

**Date : - 04th January, 2022**

To,  
Company Secretary  
IIFL Wealth Management Limited  
IIFL Centre, Kamala City,  
Senapati Bapat Marg, Lower Parel,  
Mumbai - 400013

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within 15 days. We request you to kindly take note of the above in your records.

Note:- There were no request received from the shareholders for the quarter ended 31<sup>st</sup> December, 2021. This certificate is issued on the request of the company for compliance purpose.

Thanking You,

Yours faithfully,  
For Link Intime India Pvt. Ltd



**Sharmila Amin**  
Associate Vice President-Corporate Registry