

PHOTON CAPITAL ADVISORS LIMITED

(CIN: L65910TG1983PLC004368)

Regd. Office: Plot No.90-A, Road No.9, Jubilee Hills, Hyderabad – 500 033, Telangana

Tel No: 09951339995, Website: <http://www.pcalindia.com/>

Email Id - info@pcalindia.com

Date: 12-04-2021

To,
The Manager
DCS- CRD
The BSE Limited
25th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir,

Sub.: Certificate under regulation 40(9) of SEBI (Listing obligations and disclosure requirements), Regulations, 2015, for the half year ended 31.03.2021.

Please find enclosed a copy of Certificate given by the Practicing Company Secretary under regulation 40(9) of SEBI (Listing obligations and disclosure requirements), Regulations, 2015, for the half year ended 31.03.2021.

Thanking you,

Yours truly,
For **PHOTON CAPITAL ADVISORS LIMITED**

N. Sobharani



SOBHARANI NANDURY
WHOLE-TIME DIRECTOR
DIN- 00567002

Encl: Certificate under regulation 40 (9) of SEBI (LODR), Regulations, 2015 from PCS.

CERTIFICATE


FOR THE HALF YEAR ENDED 31ST MARCH, 2021

We have examined all share transfer deeds, memorandum of transfers, registers, files and other documents relating to **PHOTON CAPITAL ADVISORS LIMITED**, Hyderabad, maintained by **KFIN TECHNOLOGIES PRIVATE LIMITED (STA)**, Hyderabad pertaining to transfer of equity shares of the company for the period from **01st October, 2020 to 31st March, 2021** for the purpose of issuing a certificate as per regulation 40(9) of the SEBI (Listing obligations and disclosure requirements), Regulations, 2015 by **PHOTON CAPITAL ADVISORS LIMITED** with the BSE Limited and based on the information provided by the Company and its STA, we hereby certify that the company has delivered during half year ended on 31st March, 2021:

- A) Company has not received any request for share transfers during the period from 01st October, 2020 to 31st March, 2021.
- B) Company has not received any request for subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 01st October, 2020 to 31st March, 2021.
- C) No requests in respect of issue of duplicate share certificates have been received during the period from 01st October, 2020 to 31st March, 2021.
- D) No requests in respect of transposition, transmission of securities have been received during the period from 01st October, 2020 to 31st March, 2021.

**FOR SGP & Associates,
Company Secretaries**




DARGA MABU BASHA
PARTNER
CP NO.19091
UDIN: A035046C000054978

Place: Hyderabad
Date: 12.04.2021

SGP & Associates

Plot No.114/A, MLA Colony, Road No.12, Banjara Hills
Hyderabad - 500 034. Telangana, India

T : +91 40 2332 4466, 2332 4477

E : contact@sgpassociates.com

R:/KFIN/PCAL/TRF/40(9)
DATE:06/04/2021

PHOTON CAPITAL ADVISORS LIMITED
PHOTON CAPITAL GROUP
PLOT NO-90A, 1ST FLOOR,BEHIND TV5 OFFICE
ROAD NO.9,JUBILEE HILLS
HYDERABAD
500033

Dear Sir/Madam

Please find enclosed herewith statement of transfers with regard to Clause 40(9) of the LISTING AGREEMENT during the halfyear ended 31/03/2021. You are requested to file the same immediately with Stock Exchange where your Company's Shares are listed

Thanking You

Yours faithfully,
for KFIN TECHNOLOGIES PRIVATE LIMITED

Sd/-
M R V SUBRAHMANYAM
General Manager

KFin Technologies Private Limited
(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi,
Telangana, India, 500032.

Ph : +91 40 6716 2222, 3321 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649

PHOTON CAPITAL ADVISORS LIMITED
AUDIT REPORT (TRF)

For the Period From 01/10/2020 To 31/03/2021

SLNO	TRFR NO	INWARD DATE	APPR DATE	DISP DATE	NO.OF DAYS
NIL	NIL	NIL	NIL	NIL	NIL

****** End Of Report ******

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi,
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