



Ref: SSFL/Stock Exchange/2021-22/005

Date: April 09, 2021

To
BSE Limited,
Department of Corporate Services
P. J. Towers, 25th Floor,
Dalal Street,
Mumbai - 400001

To
National Stock Exchange of India Limited,
Listing Department
Exchange Plaza, C-1, Block G
BandraKurla Complex, Bandra (E)
Mumbai - 400051

Scrip Code: 542759

Symbol: SPANDANA

Dear Sir/Madam,

Sub: Certificate pursuant to Regulation 40(10) read with 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended March 31, 2021.

Pursuant to Regulation 40(10) read with 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR"), please find enclosed herewith the certificate of M/s RPR & Associates, Practicing Company Secretaries, certifying compliance under Regulation 40(9) read with Regulation 61(4) of SEBI LODR for the half year ended March 31, 2021.

We request you to kindly take on record the aforesaid information.

Thank you.

Yours Sincerely,

For Spandana Sphoorty Financial Limited

Ramesh Periasamy
Company Secretary and Compliance Officer

Encl. As Above



**CERTIFICATE UNDER REGULATION 40(9) READ WITH REGULATION 61(4) OF
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED MARCH 31, 2021

We have examined all Securities Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **Spandana Sphoorty Financial Limited** (“the Company”), having its Registered Office at Plot No: 31 & 32, Ramky Selenium Towers, Tower A, Ground Floor, Financial Dist, Nanakramguda, Hyderabad – 500032, Telangana, being maintained by KFin Technologies Private Limited, Hyderabad, the Registrars and Share Transfer Agents (RTA) of the Company pertaining to transfer or transmission or transposition of Equity Shares and Non-Convertible Debentures (NCDs) issued by the Company for the period from October 01, 2020 to March 31, 2021 for the purpose of issuing this Certificate as per Regulation 40(9) read with Regulation 61(4) read with Schedule VII of the SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that there were no requests for transfer, transmission, transposition, sub-division, split, consolidation, renewal and exchange of certificates during the half year from October 01, 2020 to March 31, 2021.

Place: Hyderabad

Date: 09th April, 2021



**For RPR & Associates
Company Secretaries**

Y. Ravi Prasada Reddy

**Y. Ravi Prasada Reddy
Proprietor,**

FCS No. 5783, CP No. 5360

UDIN: F005783C000030962