

Date: 30thMay, 2022

To,
The Manager,
Department of Corporate Services,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai – 400 001

Subject: Annual Secretarial Compliance Report for the financial year ended 31st March 2022
Scrip ID: WSFIN
Scrip Code: 511147

Dear Sir/ Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015, please find attached herewith the annual secretarial compliance Report as issued by M/s. D. M. Zaveri & Co., Practicing Company Secretary for the financial year ended 31st March, 2022.

Kindly take the same on record and acknowledge the receipt.

Thanking You,

Yours faithfully,

For Wall Street Finance Limited

Manisha Swami
Company Secretary & Compliance Officer

Encl.: As above

Company Secretaries

DM Dharmesh M. Zaveri
B Com., F.C.S.

Office No 145, 1st Floor, Kesar Residency, Sector 3, Charkop, Kandivali (W), Mumbai-400 067.

Tel. 022-28679660, 022-49712722 & 91-89281 01870, (M) 98203 20503, E-mail.: dmz@dmzaveri.com, Website.: www.dmzaveri.com

**Secretarial Compliance Report of Wall Street Finance Limited for
the Financial Year ended 31 March, 2022**

To,

The Wall Street Finance Limited

Unit 622, 6th Floor, The Summit Business Bay-Omkar,
Opp PVR Cinema, M.V. Road, Chakala, Andheri (East),
Mumbai - 400093

We, D. M. Zaveri & Co, Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by Wall Street Finance Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31 March, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buy-back of Securities) Regulations, 2018; **(Not applicable during the Review Period)**

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- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
- (f) the Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable during the Review Period)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable during the Review Period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 29(2) and 29(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015.	Delay in filing of advance intimation of Board meeting held on 10 February 2022 which was required to be given at least Five days in advance in respect of approval of financial result for the quarter ended on 31 December, 2021. The advance intimation was filled with BSE on 9 February 2022.	There was a delay in filing of advance intimation of Board meeting held on 10 February 2022 with BSE within the prescribed period i.e. at least 5 days in advance in respect of approval of financial result for the quarter ended on 31 December, 2021. As represented by the Company there was some technical glitch or error due to which said delay occurred. The said intimation was originally filed with BSE on 4 February 2022 but the same was not taken on record of BSE and hence the another intimation was filed on 9 February 2022

Company Secretaries

DS Dharmesh M. Zaveri
B Com., F.C.S.

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- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records;
- (c) Following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder;

Sr. No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment etc.	Observations/ remarks of the Practising Company Secretary, if any
1.	BSE Limited	Delay in filing of advance intimation of Board meeting held on 10 February 2022 which was required to be given at least Five days in advance in respect of approval of financial result for the quarter ended on 31 December, 2021. The advance intimation was filled with BSE on 9 February 2022.	Fine of Rs. 11,800/- was imposed by BSE Limited	There was a delay in filing of advance intimation of Board meeting held on 10 February 2022 with BSE within the prescribed period i.e. at least 5 days in advance in respect of approval of financial result for the quarter ended on 31 December, 2021. As represented by the Company there was some technical glitch or error due to which said delay occurred. The said intimation was originally filed with BSE on 4 February 2022 but the same was not taken on record of BSE and hence the another intimation was filed on 9 February 2022

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports;

D. M. ZAVERI & Co.

Company Secretaries

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Sr. No.	Observation of Practising Company Secretary in previous reports	Observation made in the secretarial compliance report for the year ended...	Action taken by listed entity if any	Comments of the Practising Company Secretary on the action taken by the listed entity
Not applicable				

- (e) The listed entity has complied with the clause 6(A) and 6(B) as mentioned in SEBI Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 and that they have incorporated all the terms and conditions in the respective appointment letter issued to the Statutory Auditors.

For D. M. Zaveri & Co
Company Secretaries

Dharmesh Zaveri
(Proprietor)
FCS. No.: 5418
CP No.: 4363

Place: Mumbai
Date: 30 May, 2022

ICSI UDIN: F005418D000423946

Peer Review Certificate No.: 1187/2021