

# SRESTHA FINVEST LIMITED

Date: 10<sup>th</sup> October 2019

To  
The Manager  
Listing Department

1. Bombay Stock Exchange Ltd, Mumbai
2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

**Sub:- Submission of Compliances for the Quarter ended 30/09/2019**

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 30/09/2019 are as follows:-

1. Undertaking under Regulation 46 of SEBI (LODR) Regulations, 2015 for the quarter ended 30<sup>th</sup> September 2019.
2. Quarterly Compliance Report on Corporate Governance for the quarter ended 30<sup>th</sup> September 2019.
3. Disclosure under 13(3) of SEBI Regulations, Investor Complaints for the quarter ended 30<sup>th</sup> September 2019.
4. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 30<sup>th</sup> September 2019.
5. Compliance Certificate Certifying Maintaining Physical & Electronic Transfer Facility under Regulation 7 (3) of SEBI (LODR) Regulations, 2015.
6. Shareholding Pattern for the quarter ended 30<sup>th</sup> September 2019.

This is for your information and records.

Yours faithfully,  
For Srestha Finvest Limited

*Kamlesh*

Kamlesh Parasmal  
Whole time Director  
DIN: 00810823



Encl: as above



# PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

Service Tax No. AAACP4924D ST001 • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Lower Parel East, Mumbai - 400 011  
Tel : 2301 2518 / 2301 6761 • Fax : 2301 2517 • Email : support@purvashare.com • Web : www.purvashare.com

07/10/2019

To,

SRESTHA FINVEST LIMITED

Dear Sir/Madam.

**Sub: Request for confirmation certificate as per Regulation 74(5) of SECURITIES AND EXCHANGE BOARD OF INDIA (DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 2018**

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that we have complied with the following within 15days of receipt of the certificate(s) of security from the Depository Participant(DP):

- The securities comprised in the certificate(s) of Security dematerialised, have been listed onstock exchanges where the earlier issued securities are listed.
- After due verification, immediately mutilated and cancelled the certificate(s) of security received for dematerialisation.
- Substituted in our records the name of the Depository as the registered owner and had sent a certificate to this effect to the Depositories and to every stock exchange on a quarterly basis, where the security is listed.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.