

14<sup>th</sup> April 2021

To,

<b>General Manager</b> <b>Listing Department</b> <b>National Stock Exchange of India Limited</b> Exchange Plaza, C-1 Block G Bandra Kurla Complex, Bandra (E), Mumbai-400051 Trading Symbol: UJAAS	<b>General Manager</b> <b>Department of Corporate Services</b> <b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Mumbai-400001 Scrip Code:533644
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**Sub: Submission of Certificate for Compliance under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.**


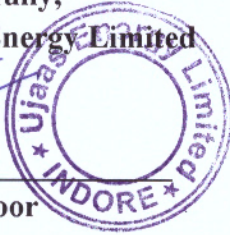
Dear Sir/Ma'am,

Please find enclosed the Certificate for Compliance under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 obtained from Practicing Company Secretary for the half year ended 31<sup>st</sup> March 2021.

You are requested to take the same on your record.

Thanking you,

Yours faithfully,  
For Ujaas Energy Limited

**Karan Kapoor**  
**Company Secretary**  
**& Compliance Officer**

Encl: Compliance Certificate

**UJAAS ENERGY LIMITED**

Office: Survey No. 211/1, Opposite Sector-C and Metalman, Sanwer Road Industrial Area, Indore 452015 (MP)  
Phone: +91-731-4715300 | Fax: +91-731-4715344 | WhatsApp: +91-82260 34000  
Email: info@ujaas.com | Website: www.ujaas.com



**Ashish Karodia**  
M.Com., L.L.B., F.C.S.

**Company Secretary**

208, Trade House,  
14/3, South Tukoganj,  
Indore (M.P.)  
Cell: +91 98261 - 14533  
E-mail: [ashishkarodia@gmail.com](mailto:ashishkarodia@gmail.com)

**CERTIFICATE OF COMPLIANCE**

**[Pursuant to Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulation 2015]**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2021**

This to certify that we have examined the relevant book and records of UJAAS ENERGY LIMITED (CIN:L31200MP1999PLC013571) having its Registered office at Survey No. 211/1, Opp. Sector- C & Metalman, Sanwer Road Industrial Area, Indore MP 452015, kept and maintained by BIGSHARE SERVICES PRIVATE LIMITED (CIN:U99999MH1994PTC076534) pertaining to transfer of equity shares and issue of share certificates of the Company for a period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021 for the purpose of issuing this certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015 and based on the information provided by the Company.

We hereby certify that during the half year ended 31<sup>st</sup> March 2021

1. Share Certificate(s) relating to the Share Transfer form(s) received during the period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021 as entered in the Memorandum of Transfers have been issued within 15 days from the respective date of lodgment of each form excepting those rejected on technical grounds. (Not Applicable, as there were no certificate issued for Share Transfer during the period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021).
2. The Company has issued all certificates within 30 days for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, if any, during the period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021. (Not Applicable, as there were no certificate issued for sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021).

**Place: Indore**  
**Date: 13.04.2021**



**Ashish Karodia**  
**Company Secretary**  
**COP:6375 FCS:6549**  
**UDIN: F006549C000077004**