

PL/SEC/TGT/2021-2022/122  
Friday, 11<sup>th</sup> February 2022

👤 CUSTOMERS 👤 EMPLOYEES 👤 SHAREHOLDERS 👤 SUPPLIERS

The Manager Listing Department <b>National Stock Exchange of India Limited</b> “Exchange Plaza’, C-1, Block G Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051	Corporate Relationship Department <b>BSE Limited</b> 1 <sup>st</sup> Floor, New Trading Ring Rotunda Building, P J Towers, Dalal Street, Fort, Mumbai 400 001
Script Code: PRICOLLTD	Script Code: 540293

Dear Sirs,


**SUB: Reporting of violation under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to Code of Conduct for Prevention of Insider Trading of Pricol Limited**

This is to inform you that in terms of the SEBI (Prohibition of Insider Trading) Regulations, 2015 (“SEBI Regulation”), Code of Conduct for Prevention of Insider Trading of Pricol Limited (“Code”) and SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23rd July, 2020, the details of violation of the Code by the Designated Person along with the action taken by the Company thereon are enclosed as “**Annexure A**” to this letter

Please take the same on record.

Thanking you

Yours faithfully,  
For Pricol limited

  
T.G. Thamizhanban  
Company Secretary  
ICSI M.No: F7897



Encl: As above

**Annexure A**  
**Report by Pricol Limited for violations related to Code of Conduct under SEBI**  
**(Prohibition of Insider trading) Regulations, 2015**

*Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015*

<b>Sr. No</b>	<b>Particulars</b>	<b>Details</b>
1.	Name of the listed Company / Intermediary/Fiduciary	Pricol Limited
2.	Please tick appropriate Checkbox. Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3.	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the Designated Person (DP)	Mr. Muthukumar V
	ii. PAN of the DP	ARNPM8184H
	iii. Designation of DP	Manager - Finance
	iv. Functional Role of DP	Indirect Tax – Sales and GST
	v. Whether DP is Promoter or belongs to Promoter	No
	<b>B. If Reporting is for immediate relative of DP</b>	
	i. Name of the immediate relative of DP	N.A.
	ii. PAN of the immediate relative of DP	N.A.
	<b>C. Details of transaction(s)</b>	
	i. Name of the scrip	PRICOLLTD
	ii. No of shares traded and value (Rs.) (Date- wise)	4 shares purchased at a price of Rs. 125.05 per share on 27 <sup>th</sup> January 2022.
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015.	N.A.
	ii. Date of intimation of trade(s) by Company to Stock Exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015.	N.A.
4.	Details of violations observed under Code of Conduct	Transaction done during closure of Trading Window Period.
5.	Action taken by Listed Company/ Intermediary/ Fiduciary	The matter was referred to the Board of the Directors of the Company. Board after discussion, considering the first instance of violation and value of transaction (Rs.500.20/-), has issued a warning letter to the said Designated Person.  He has been further advised that in case of any subsequent violation stricter action(s) would be taken by the Company.



*continuation sheet*

6. Reasons recorded in writing for taking action stated above	The said violation - purchase shares of the Company during closure of trading window period, being the first instance on the part of the Designated Person and the value of transaction being Rs. 500.20/- only, warning letter was issued to the said Designated Person, in line with the penalty framework of the Company,																						
7. Details of previous instances of violations, if any, since last financial year	None																						
8. If any amount collected for Code of Conduct violation(s): i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) ii. Details of transfer/payment <b>In case of Online:</b> <table border="1" data-bbox="279 918 774 1243"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td></td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td></td> </tr> <tr> <td>UTR/Transaction reference</td> <td></td> </tr> <tr> <td>Transaction date</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td></td> </tr> </tbody> </table> <b>In case of Demand Draft (DD):</b> <table border="1" data-bbox="247 1332 782 1500"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> <tr> <td>DD amount (in Rs.)</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor		Bank Name, branch and Account number		UTR/Transaction reference		Transaction date		Transaction Amount (in Rs.)		Particulars	Details	Bank Name and branch		DD Number		DD date		DD amount (in Rs.)		N.A N.A.
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9. Any other relevant information	None																						

Thanking you

Yours faithfully,  
For Pricol limited



T.G. Thamizhanban  
Company Secretary  
ICSI M.No: F7897  
Date: 11<sup>th</sup> February, 2022  
Place: Coimbatore

