

SRESTHA FINVEST LIMITED

Date: 04th January 2021

To
The Manager
Listing Department

1. Bombay Stock Exchange Ltd, Mumbai
2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the Quarter ended 31/12/2020

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 31/12/2020 are as follows:

1. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 31st December 2020.
2. Quarterly Compliance Report on Corporate Governance for the quarter ended 31st December 2020.
3. Disclosure under 13(3) of SEBI Regulations, Investor Complaints for the quarter ended 31st December 2020.

This is for your information and records.

**Yours faithfully,
For Srestha Finvest Limited**

04-01-2021

X A. JITHENDRA KUMAR BAF...

Company Secretary and Compliance Officer
Signed by: A. Jithendra Kumar Bafna

Encl: as above



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

Service Tax No. AAACP4924D ST001 • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Lower Parel East, Mumbai - 400 011
Tel : 2301 2518 / 2301 6761 • Fax : 2301 2517 • Email : support@purvashare.com • Web : www.purvashare.com

01/01/2021

To,

SRESTHA FINVEST LIMITED

Dear Sir/Madam.

Sub: Request for confirmation certificate as per Regulation 74(5) of SECURITIES AND EXCHANGE BOARD OF INDIA (DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that we have complied with the following within 15 days of receipt of the certificate(s) of security from the Depository Participant(DP):

- The securities comprised in the certificate(s) of Security dematerialised, have been listed on stock exchanges where the earlier issued securities are listed.
- After due verification, immediately mutilated and cancelled the certificate(s) of security received for dematerialisation.
- Substituted in our records the name of the Depository as the registered owner and had sent a certificate to this effect to the Depositories and to every stock exchange on a quarterly basis, where the security is listed.

Thanking you,

Yours faithfully,

For Purva Share Registry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

SRESTHA FINVEST LIMITED - Details of Share certificates dematerialised from 01/10/2020 to 31/12/2020

FOLIO	NAME OF SHAREHOLDER	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALISED ON
H0010001	HIRACHAND K SHAH HUF	0100023	816501	841500	25000	30/10/2020
H0010001	HIRACHAND K SHAH HUF	0101070	75081651	75084150	2500	30/10/2020